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<b>(21) International Application Number:</b> PCT/US95/01752 <b>(22) International Filing Date:</b> 8 February 1995 (08.02.95)  <b>(30) Priority Data:</b> 08/194,187 8 February 1994 (08.02.94) US 08/379,121 1 February 1995 (01.02.95) US  <b>(71) Applicant:</b> AMGEN INC. [US/US]; Amgen Center, 1840 Dehavilland Drive, Thousand Oaks, CA 91320-1789 (US). <b>(72) Inventor:</b> HABBERFIELD, Alan, D.; 17838 Castellammare, Pacific Palisades, CA 90272 (US). <b>(74) Agents:</b> ODRE, Steven, M. et al.; Amgen Inc., Amgen Center, 1840 Dehavilland Drive, Thousand Oaks, CA 91320-1789 (US).		<b>(81) Designated States:</b> AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> ORAL DELIVERY OF CHEMICALLY MODIFIED PROTEINS  <b>(57) Abstract</b>  Provided are compositions and methods for oral delivery of chemically modified proteins, including chemically modified G-CSF and chemically modified consensus interferon. Uptake from the intestine to the bloodstream is demonstrated for pegylated G-CSF and pegylated consensus interferon.		

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## ORAL DELIVERY OF CHEMICALLY MODIFIED PROTEINS

Field of the Invention

The present invention relates to novel  
5 compositions and methods for the oral delivery of  
chemically modified proteins. (The term "protein is here  
used interchangeably with the term "polypeptide" unless  
otherwise indicated). Further, the present invention  
relates to novel compositions and methods for the oral  
10 delivery of pegylated proteins. In another aspect, the  
present invention relates to novel compositions and  
methods for oral delivery of chemically modified  
granulocyte colony stimulating factor (G-CSF), and, in  
yet another aspect, particularly, oral delivery of  
15 pegylated G-CSF. The present invention also relates to  
compositions and methods for oral delivery of chemically  
modified consensus interferon, and, viewed as another  
aspect, oral delivery of pegylated consensus interferon.  
In addition, methods of treatment using such  
20 compositions, and methods for producing such  
compositions, are also disclosed.

Background

Currently, injection is the typical mode of  
25 administering a biologically active protein to the blood  
stream. Injection, however, is undesirable in many  
instances. The recipient, of course, may experience  
discomfort or pain, and may have to travel to a trained  
practitioner for the injection. For these reasons and  
30 others there may be problems with patient compliance  
using injection as a mode of administration. One  
alternative to injection is the oral administration of  
biologically active proteins.

Oral administration has been problematic,  
35 however, for a variety of reasons. One major concern is  
the degradation of the biologically active protein in

the gut. Protease inhibitors have been proposed. There have also been various pharmaceutical preparations of oral dosage forms for various proteins which protect the protein from degradation, e.g., EP 0 459 795, entitled "Oral dosage form of biologically active proteins," (see also, co-pending U.S.S.N. 07/994,076, entitled, Oral Dosage Form of Biologically Active Proteins), herein incorporated by reference. U.S. Patent No. 4,925,673 (Steiner et al.), entitled, "Delivery Systems for Pharmacological Agents Encapsulated with Proteinoids" reports the oral delivery of insulin, heparin and physostigmine encapsulated in certain microspheres which are predominantly less than about 10 microns in diameter. These proteinoids are made of an acidic protein that is reportedly stable in the presence of stomach enzymes and acid, but which release the microencapsulated agent in the near neutral blood stream. There has also been a report of the use of this microsphere for oral delivery of a monoclonal antibody.

Other groups have attempted to increase oral uptake of therapeutics by their incorporation into polystyrene latex nanoparticles and microparticles. Thus the drug is not only protected from the hostile environment but also these particles are then taken up from the enteral route into the systemic circulation via the Peyer's patches. See Jani et al., J. Pharm. Pharmacol. 42: 821-826 (1990), see also, Jani et al., Intl J. Pharm. 86: 239-246 (1992).

Using a similar approach for both the protection and enhanced uptake of the peptide or protein, microemulsions have been claimed for the oral delivery of such therapeutics as insulin, calcitonin and somatotrophin or growth factors. PCT Publication No. WO 90/03164. Additionally, the oral delivery of therapeutics using liposomes has been investigated, see Aramaki et al., Pharm. Res. 10: 1228-1231 (1993). The

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liposomes were composed of distearoylphosphatidylcholine, phosphatidylserine, and cholesterol or dipalmitoylphosphatidylcholine, phosphatidylserine and cholesterol which were stable in the gut and appeared to be taken up by the Peyer's patches in the lower ileum. To date, despite the above reports, oral dosage forms of biologically active proteins are not widely in clinical use.

This may be attributable to the technical hurdles involved in attempting to deliver a therapeutic protein into the systemic circulation from the oral route. Briefly, the digestive process is, by definition, hostile to any ingested protein. The gastrointestinal tract is an organ developed to both physically and chemically break down ingested nutrients and is responsible for their uptake into the body and for the elimination of waste. Ingested food is immediately degraded in the stomach by the combination of low pH, typically 1-3 (Dotevall, G., et al. Acta Med. Scand., 170, 59. 1961) and strong peristaltic contractions which maintain the nutrients in the stomach while continuing to physically break down the food. In addition the protease pepsin is secreted into the lumen of the stomach from the gastric chief cells. The result of this extremely hostile environment is that the food is eventually released into the small intestine, specifically the duodenum, through the pylorus as small particles of ~1 mm or less (Mayer, E.A., et al. Gastroenterology, 87, 1264-1271, 1984). The pH of the stomach contents entering the duodenum is rapidly elevated to pH 5-7 by bicarbonate in the bile and pancreatic secretions. Additionally, the endoproteases trypsin, chymotrypsin and elastase are released into the duodenal lumen along with many enzymes for the digestion of polysaccharides and lipids. The products of these proteases are generally small peptides and these in turn

are hydrolyzed to amino acids prior to absorption by exopeptidases in the brush border of the enterocytes lining the intestine (for reviews see Kenny, A.J. and Fulcher, I.S., In: *Brush Border Membranes*, edited by R. Porter and G. M. Collins, pp 12-33, 1983 and Tobey, N. et al. *Gastroenterology*, 88: 913-926 (1985).

Proteolysis, and more general digestion of the food takes place throughout the small intestine, i.e. the duodenum, jejunum and ileum, as does uptake of the products of digestion. The functions of the large intestine, which consists of the caecum and the colon, are water and electrolyte extraction from the lumen into the body, and storage and eventual elimination of waste.

The products of digestion are generally absorbed through active uptake processes for amino acids and for monosaccharides, while others, specifically lipids, are absorbed by a more passive diffusion process into the enterocytes lining the gut. Active uptake processes are also known to exist for some vitamins and other larger but essential nutritive factors which are unable to be passively absorbed. However, for most large molecules the enterocyte lining of the gut lumen is an impenetrable barrier which cannot be crossed.

Throughout the gut, the enterocyte lining of the intestine absorbs digestion products. Large molecules, such as those of greater than about 500-1000 Da MW, are not known to be passively absorbed by the intestine.

Therefore, the art teaches against enlarging the size of a biologically active protein for oral administration. For example, polyethylene glycol alone is thought to pass through the intestinal tract with little or no absorbance, Ma et al., *Gastroenterology* 98: 39-46 (1990); Sundquist et al., *Gut* 21: 208-214 (1980).

One such biologically active protein, which is the subject of the examples below, is granulocyte colony-stimulating factor (G-CSF).

stimulating factor, "G-CSF." G-CSF promotes the formation from bone marrow cells of certain bacteria-fighting white blood cells, called neutrophilic granulocytes, or "neutrophils." Once released into the  
5 circulating blood, neutrophilic granulocytes enable the human immune system to ward off bacterial infection. G-CSF induces the rapid proliferation and release of neutrophilic granulocytes to the blood stream.

Human G-CSF can be obtained and purified from  
10 a number of sources. Natural human G-CSF (nhG-CSF) can be isolated from the supernatants of cultured human tumor cell lines. The recombinant production of G-CSF enabled sufficient amounts of G-CSF with desired therapeutic qualities (recombinant production is  
15 described in U.S. Patent No. 4,810,643 (Souza, incorporated herein by reference). Recombinant human G-CSF (rhG-CSF) has been successfully used in the clinic for restoration of immune function after chemotherapy and radiation therapy, and in chronic settings, such as  
20 severe chronic neutropenia. Presently, the recombinant human G-CSF (generic name, Filgrastim) is sold commercially in the United States under the brand name Neupogen®, and is administered by injection or infusion.

Proteins may be protected against proteolysis  
25 by the attachment of chemical moieties. Such attachment may effectively block the proteolytic enzyme from physical contact with the protein backbone itself, and thus prevent degradation. Polyethylene glycol is one such chemical moiety which has been shown to protect  
30 against proteolysis. Sada, et al., J. Fermentation Bioengineering 71: 137-139 (1991).

In addition to protection against proteolytic cleavage, chemical modification of biologically active proteins has been found to provide additional advantages  
35 under certain circumstances, such as increasing the stability and circulation time of the therapeutic

protein and decreasing immunogenicity. See U.S. Patent No. 4,179,337, Davis et al., issued December 18, 1979. For a review, see Abuchowski et al., in *Enzymes as Drugs*. (J.S. Holcerberg and J. Roberts, eds. pp. 367-  
5 383 (1981)). A review article describing protein modification and fusion proteins is Francis, *Focus on Growth Factors* 3: 4-10 (May 1992) (published by Mediscript, Mountview Court, Friern Barnet Lane, London N20, OLD, UK). For example, see EP 0 401 384, entitled,  
10 "Chemically modified Granulocyte Colony Stimulating Factor," which describes materials and methods for preparing G-CSF to which polyethylene glycol molecules are attached. The addition of polyethylene glycol increases stability of G-CSF at physiological pH as  
15 compared to non-pegylated G-CSF (such modified G-CSF is referred to herein as "pegylated G-CSF" or "PEG-G-CSF"). The pegylated protein is also stabilized with regard to salts. The beneficial effects of pegylation on stabilizing enzymes in organic solvents has also been  
20 reported, see Inada, Y., et al; *Tibtech* 190-194 (1986). This latter point may have practical implications in the tablet formulation of the GSCF molecules.

G-CSF and analogs thereof have also reportedly been modified. EP 0 473 268, "Continuous Release  
25 Pharmaceutical Compositions Comprising a Polypeptide Covalently Conjugated To A Water Soluble Polymer," reportedly describes the use of various G-CSF and derivatives covalently conjugated to a water soluble particle polymer, such as polyethylene glycol. Of  
30 course, with additional chemical moieties attached, the biologically active molecule is enlarged.

Co-pending USSN 08/321,510 (herein incorporated by reference) discloses N-terminally chemically modified protein compositions and methods,  
35 including modification of G-CSF and chemical modification of another protein, consensus interferon.



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As will be discussed in more detail below, chemically modified consensus interferon has demonstrated biological activity, such as anti-viral activity. An oral dosage formulation of chemically modified consensus  
5 interferon, the subject of another working example described below would also be desirable.

#### SUMMARY OF THE INVENTION

10           The present invention is directed to the oral administration of a chemically modified protein, and delivery of the protein to the blood stream for therapeutic effect. Importantly, and surprisingly, it has been found that chemically modified biologically  
15 active proteins may survive in the intestine (with or without additional formulation), and pass through the lining of the intestine to the blood stream. Surprisingly, as demonstrated with pegylated G-CSF, not only did the protein survive, but it produced observable  
20 biological effects.

          The examples below illustrate this. In a mammalian system, pegylated G-CSF is administered directly to the intestine. The animals tested uniformly exhibited higher total white blood cell counts than  
25 animals treated with non-pegylated G-CSF or vehicle. While the precise mechanisms are not defined, initial observations indicate that the chemical modification prevents proteolysis of the protein, and slows the clearance rate of the protein from the systemic  
30 circulation. The mechanism by which the lining of the intestine allows for uptake of the pegylated G-CSF into the blood stream, however, is not understood.

          Therefore, one aspect of the present invention relates to compositions for the oral administration of a  
35 chemically modified G-CSF. Another aspect of the

present invention relates to pegylated G-CSF in a pharmaceutically acceptable oral dosage formulation.

In general, G-CSF useful in the practice of this invention may be a form isolated from mammalian organisms or, alternatively, a product of chemical synthetic procedures or of prokaryotic or eukaryotic host expression of exogenous DNA sequences obtained by genomic or cDNA cloning or by DNA synthesis. Suitable prokaryotic hosts include various bacteria (e.g., *E. coli*); suitable eukaryotic hosts include yeast (e.g., *S. cerevisiae*) and mammalian cells (e.g., Chinese hamster ovary cells, monkey cells). Depending upon the host employed, the G-CSF expression product may be glycosylated with mammalian or other eukaryotic carbohydrates, or it may be non-glycosylated. The G-CSF expression product may also include an initial methionine amino acid residue (at position -1). The present invention contemplates the use of any and all such forms of G-CSF, although recombinant G-CSF, especially *E. coli* derived, is preferred, for, among other things, greatest commercial practicality.

Certain G-CSF analogs have been reported to be biologically functional, and these may also be chemically modified, by, for example, the addition of one or more polyethylene glycol molecules. Examples of G-CSF analogs which have been reported to have biological activity are those set forth in EP O 473 268 and EP O 272 423, although no representation is made with regard to the activity of each analog reportedly disclosed.

The chemical modification contemplated is the attachment of at least one moiety to the G-CSF molecule itself, where said moiety permits (a) inhibition of proteolysis; and (b) uptake into the blood stream from the intestine. Also desired is the increase in overall stability of the protein and increase in circulation

time in the body. Examples of such moieties include: Polyethylene glycol, copolymers of ethylene glycol and propylene glycol, carboxymethyl cellulose, dextran, polyvinyl alcohol, polyvinyl pyrrolidone and  
5 polyproline. Abuchowski and Davis, Soluble Polymer-Enzyme Adducts. In: "Enzymes as Drugs", Hocenberg and Roberts, eds., Wiley-Interscience, New York, NY, (1981), pp 367-383; Newmark, et al., J. Appl. Biochem. 4: 185-189 (1982). Other polymers that could be used are poly-  
10 1,3-dioxolane and poly-1,3,6-tioxocane.

The preferred chemical moiety is polyethylene glycol. The preferred polyethylene glycol molecules are those which act to increase the half life of the protein in vivo, typically those PEG molecules with a molecular  
15 weight of between about 500 and about 50,000. The term "about" is used to reflect the approximate average molecular weight of a polyethylene glycol preparation, recognizing that some molecules in the preparation will weigh more, some less. The PEG used in the working  
20 examples described below had a molecular weight of about 6000.

The polyethylene glycol molecules (or other chemical moieties) should be attached to the protein with consideration of effects on functional or antigenic  
25 domains. The method for attachment of the polyethylene glycol molecules may vary, and there are a number of methods available to those skilled in the art. E.g., EP 0 401 384 herein incorporated by reference (coupling PEG to G-CSF), see also Malik et al., Exp. Hematol. 20:  
30 1028-1035 (1992) (reporting pegylation of GM-CSF using tresyl chloride). For example, polyethylene glycol may be covalently bound through amino acid residues via a reactive group, such as, a free amino or carboxyl group. Reactive groups are those to which an activated  
35 polyethylene glycol molecule may be bound. The amino acid residues having a free amino group may include

lysine residues and the N-terminal amino acid residues; those having a free carboxyl group may include aspartic acid residues glutamic acid residues and the C-terminal amino acid residue. Sulfhydryl groups may also be used  
5 as a reactive group for attaching the polyethylene glycol molecule(s). Preferred for therapeutic purposes is attachment at an amino group, such as attachment at the N-terminus or lysine group. Attachment at residues important for G-CSF receptor binding should be avoided.  
10 Attachment at residues found in external loops connecting alpha helices or the N-terminus is preferred. See, Osslund et al., PNAS-USA 90: 5167-5171 (1993) (describing the three dimensional conformation of recombinant human G-CSF), herein incorporated by  
15 reference.

The number of polyethylene glycol molecules so attached may vary, and one skilled in the art will be able to ascertain the effect on function. As noted in more detail below, the pegylated G-CSF preferred herein  
20 is predominantly di-tri-tetra pegylated with PEG 6000 , i.e., a population of G-CSF molecule having two, three or four PEG 6000 molecules attached, with a minority of molecules having more or fewer polyethylene glycol molecules attached.

25 Contemplated for use herein are oral solid dosage forms, which are described generally in Remington's Pharmaceutical Sciences, 18th Ed.1990 (Mack Publishing Co. Easton PA 18042) at Chapter 89, which is herein incorporated by reference. Solid dosage forms  
30 include tablets, capsules, pills, troches or lozenges, cachets or pellets. Also, liposomal or proteinoid encapsulation may be used to formulate the present compositions (as, for example, proteinoid microspheres reported in U.S. Patent No. 4,925,673). Liposomal  
35 encapsulation may be used and the liposomes may be derivatized with various polymers (E.g., U.S. Patent No.

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5,013,556). A description of possible solid dosage forms for the therapeutic is given by Marshall, K. In: *Modern Pharmaceutics* Edited by G.S. Banker and C.T. Rhodes Chapter 10, 1979, herein incorporated by reference. In general, the formulation will include the chemically modified protein, and inert ingredients which allow for protection against the stomach environment, and release of the biologically active material in the intestine.

One preferred composition is PEG-G-CSF associated with an anionic lipid. As described more fully in Example 6 below, PEG-G-CSF associated with an anionic lipid demonstrated enhanced biological effects when delivered to the gut. Preferably, dioleoyl phosphatidylglycerol (DOPG) is used as an anionic lipid, but other anionic lipids may be used. The lipid vesicles useful in the compositions of the present invention are those negatively charged liposomes capable of interacting with PEG-C-CSF. Particular lipids contemplated for use include:

dioleoylphosphatidylglycerol (DOPG),  
dimyristoylphosphatidylglycerol (DMPG),  
dipalmitoylphosphatidylglycerol (DPPG), egg phosphatidylglycerol, dioleoylphosphatidylethanolamine (DOPE), egg phosphatidylethanolamine, dioleoylphosphatidic acid (DOPA), dimyristoylphosphatidic acid (DMPA), dipalmitoylphosphatidic acid (DPPA), dioleoylphosphatidylserine (DOPS), dimyristoylphosphatidylserine (DMPS), dipalmitoylphosphatidylserine (DPPS), egg phosphatidylserine, lysophosphatidylglycerol, lysophosphatidylethanolamine, and lysophosphatidylserine. Depending on the particular lipid utilized, the amount of lipid could vary, and may be used in different combinations. Other materials and

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methods relating to use of anionic lipids are described in co-pending, co-owned U.S.S.N. 08/132,413, entitled, Stable Proteins: Phospholipid Compositions and Methods, herein incorporated by reference, and Collins et al.,  
5 entitled Enhanced stability of granulocyte colony stimulating factor (G-CSF) after insertion into lipid membranes, J. Biochem. (under review), also incorporated by reference.

The preferred location of release is the  
10 duodenum, as will be demonstrated below. Although duodenal release is preferable for optimal biological effect for a given dose, release throughout the gut results in uptake of the PEG-G-CSF as demonstrated below. One skilled in the art has available  
15 formulations which will not dissolve in the stomach, yet will release the material in the duodenum or elsewhere in the intestine.

To ensure full gastric resistance a coating impermeable to at least pH 5.0 is essential. Examples  
20 of the more common inert ingredients that are used as enteric coatings are cellulose acetate trimellitate (CAT), hydroxypropylmethylcellulose phthalate (HPMCP), HPMCP 50, HPMCP 55, polyvinyl acetate phthalate (PVAP), Eudragit L30D, Aquateric, cellulose acetate phthalate  
25 (CAP), Eudragit L, Eudragit S, and Shellac. These coatings may be used as mixed films.

A coating or mixture of coatings can also be used on tablets, which are not intended for protection against the stomach. This can include sugar coatings,  
30 or coatings which make the tablet easier to swallow. Capsules may consist of a hard shell (such as gelatin) for delivery of dry therapeutic i.e. powder; for liquid forms, a soft gelatin shell may be used. The shell material of cachets could be thick starch or other  
35 edible paper. For pills, lozenges, molded tablets or tablet triturates, moist massing techniques can be used.

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The therapeutic can be included in the formulation as fine multiparticulates in the form of granules or pellets of particle size about 1mm. The formulation of the material for capsule administration  
5 could also be as a powder, lightly compressed plugs or even as tablets. The therapeutic could be prepared by compression.

Colorants and flavoring agents may all be included.

10 One may dilute or increase the volume of the therapeutic with an inert material. These diluents could include carbohydrates, especially mannitol,  $\alpha$ -lactose, anhydrous lactose, cellulose, sucrose, modified dextrans and starch. Certain inorganic salts  
15 may be also be used as fillers including calcium triphosphate, magnesium carbonate and sodium chloride. Some commercially available diluents are Fast-Flo, Emdex, STA-Rx 1500, Emcompress and Avicell.

Disintegrants may be included in the  
20 formulation of the therapeutic into a solid dosage form. Materials used as disintegrates include but are not limited to starch including the commercial disintegrant based on starch, Explotab. Sodium starch glycolate, Amberlite, sodium carboxymethylcellulose,  
25 ultramylopectin, sodium alginate, gelatin, orange peel, acid carboxymethyl cellulose, natural sponge and bentonite may all be used. Another form of the disintegrants are the insoluble cationic exchange resins. Powdered gums may be used as disintegrants and  
30 as binders and these can include powdered gums such as agar, Karaya or tragacanth. Alginic acid and its sodium salt are also useful as disintegrants.

Binders may be used to hold the therapeutic agent together to form a hard tablet and include  
35 materials from natural products such as acacia, tragacanth, starch and gelatin. Others include methyl

cellulose (MC), ethyl cellulose (EC) and carboxymethyl cellulose (CMC). Polyvinyl pyrrolidone (PVP) and hydroxypropylmethyl cellulose (HPMC) could both be used in alcoholic solutions to granulate the therapeutic.

5           An antifrictional agent may be included in the formulation of the therapeutic to prevent sticking during the formulation process. Lubricants may be used as a layer between the therapeutic and the die wall, and these can include but are not limited to; stearic acid  
10 including its magnesium and calcium salts, polytetrafluoroethylene (PTFE), liquid paraffin, vegetable oils and waxes. Soluble lubricants may also be used such as sodium lauryl sulfate, magnesium lauryl sulfate, polyethylene glycol of various molecular  
15 weights, Carbowax 4000 and 6000.

          Glidants that might improve the flow properties of the drug during formulation and to aid rearrangement during compression might be added. The glidants may include starch, talc, pyrogenic silica and  
20 hydrated silicoaluminate.

          To aid dissolution of the therapeutic into the aqueous environment a surfactant might be added as a wetting agent. Surfactants may include anionic detergents such as sodium lauryl sulfate, dioctyl sodium  
25 sulfosuccinate and dioctyl sodium sulfonate. Cationic detergents might be used and could include benzalkonium chloride or benzethonium chloride. The list of potential nonionic detergents that could be included in the formulation as surfactants are lauromacrogol 400,  
30 polyoxyl 40 stearate, polyoxyethylene hydrogenated castor oil 10, 50 and 60, glycerol monostearate, polysorbate 40, 60, 65 and 80, sucrose fatty acid ester, methyl cellulose and carboxymethyl cellulose. These surfactants could be present in the formulation of the  
35 PEG-G-CSF either alone or as a mixture in different ratios.



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Additives which potentially enhance uptake of the cytokine are for instance the fatty acids oleic acid, linoleic acid and linolenic acid.

Controlled release formulation may be  
5 desirable. The drug could be incorporated into an inert matrix which permits release by either diffusion or leaching mechanisms i.e. gums. Slowly degenerating matrices may also be incorporated into the formulation. Another form of a controlled release of this therapeutic  
10 is by a method based on the Oros therapeutic system (Alza Corp.), i.e. the drug is enclosed in a semipermeable membrane which allows water to enter and push drug out through a single small opening due to osmotic effects. Some entric coatings also have a  
15 delayed release effect.

Other coatings may be used for the formulation. These include a variety of sugars which could be applied in a coating pan. The therapeutic agent could also be given in a film coated tablet and  
20 the materials used in this instance are divided into 2 groups. The first are the nonenteric materials and include methyl cellulose, ethyl cellulose, hydroxyethyl cellulose, methylhydroxy-ethyl cellulose, hydroxypropyl cellulose, hydroxypropyl-methyl cellulose, sodium  
25 carboxy-methyl cellulose, providone and the polyethylene glycols. The second group consists of the enteric materials already described that are commonly esters of phthalic acid.

A mix of materials might be used to provide  
30 the optimum film coating. Film coating may be carried out in a pan coater or in a fluidized bed or by compression coating.

The preferred formulation for oral delivery of G-CSF is recombinant human G-CSF (produced in a  
35 bacterial host for commercial practicability), such as Neupogen®, available from Amgen Inc., Thousand Oaks,

California 91320-1789, di-tri-tetra pegylated as described in more detail below, and formulated so as to deliver the pegylated G-CSF to the small intestine. As will be demonstrated below, the small intestine, more particularly, the duodenum is the preferred location for release of the pegylated G-CSF from inert materials.

Also contemplated herein are processes for preparing the above oral dosage forms, as well as methods of treating a mammal in need thereof by orally administering an oral formulation of chemically modified protein. Preferred is a process for preparing an oral dosage formulation of G-CSF comprised of: (a) chemically modifying said G-CSF; and, (b) formulating such chemically modified G-CSF with a pharmaceutically acceptable carrier for oral administration.

Another aspect of the present invention includes methods of treating a mammal for a condition characterized by a decrease in hematopoietic function comprised of the oral administration of chemically modified G-CSF, which may include a pharmaceutically acceptable oral formulation.

Formulations specific for certain indications may include other agents which are not inert, such as antibiotics, such as ceftriaxone, for the concomitant treatment of infection. Other non-inert agents include chemotherapy agents.

Conditions alleviated or modulated by the oral administration of chemically modified G-CSF (or analogs) are typically those characterized by a reduced hematopoietic or immune function, and, more specifically, a reduced neutrophil count. Such conditions may be induced as a course of therapy for other purposes, such as chemotherapy or radiation therapy. Such conditions may result from infectious disease, such as bacterial, viral, fungal or other infectious disease. For example, sepsis results from

bacterial infection. Or, such condition may be hereditary or environmentally caused, such as severe chronic neutropenia or leukaemias. Age may also play a factor, as in the geriatric setting, patients may have a reduced neutrophil count or reduced neutrophil mobilization. Some of such conditions are reviewed in Filgrastim (r-met Hu G-CSF) in Clinical Practice, Morstyn, G. and T.M. Dexter, eds., Marcel Dekker, Inc., N.Y., N.Y. (1993), 351 pp. Other less-studied conditions which may be alleviated or modulated by oral administration may include the reduction of lipids (or cholesterol) in the blood stream, and certain cardiovascular conditions, as G-CSF may induce production of plasminogen activators. The mode of action of G-CSF (or analogs) in these settings is not well understood at present.

Administration may be in combination with other agents such as antibiotics, other hematopoietic factors, such as the interleukins (IL-1, IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8, IL-9, IL-10, IL-11 and IL-12), early acting factors such as Stem Cell Factor or FLT3-L, erythropoietin, GM-CSF, IGF's (such as I and II), M-CSF, interferons (such as, but not limited to alpha, beta, gamma, and consensus), LIF, and CSF-1. Those skilled in the art will recognize when therapeutic effectiveness will require co-administration of a member of the group above, either simultaneously or in sequence. The co-administration may be via a different route (e.g., injection or infusion), or may be oral, nasal or pulmonary as a skilled practitioner will recognize.

As further studies are conducted, information will emerge regarding appropriate dosage levels for treatment of various conditions in various patients, and the ordinary skilled worker, considering the therapeutic context, age and general health of the recipient, will

be able to ascertain proper dosing. Generally, dosage will be between 0.01 µg/kg body weight, (calculating the mass of the G-CSF alone, without chemical modification), and 100 µg/kg (based on the same).

5           Consensus interferon is another protein used in the present working examples. Demonstrated below is the intraduodenal administration of chemically modified consensus interferon. This too was taken up into the blood stream from the intestine. Thus, other aspects of  
10 the present invention relate to preparations and methods for oral administration of chemically modified consensus interferon.

          As employed herein, consensus human leukocyte interferon, referred to here as "consensus interferon,"  
15 or "IFN-con", means a nonnaturally-occurring polypeptide, which predominantly includes those amino acid residues that are common to all naturally-occurring human leukocyte interferon subtype sequences and which include, at one or more of those positions where there  
20 is no amino acid common to all subtypes, an amino acid which predominantly occurs at that position and in no event includes any amino acid residue which is not extant in that position in at least one naturally-occurring subtype. IFN-con encompasses the amino acid  
25 sequences designated IFN-con<sub>1</sub>, IFN-con<sub>2</sub> and IFN-con<sub>3</sub> which are disclosed in commonly owned U.S. Patents 4,695,623 and 4,897,471, the entirety of which are hereby incorporated by reference. DNA sequences encoding IFN-con may be synthesized as described in the  
30 above-mentioned patents or other standard methods. IFN-con polypeptides are preferably the products of expression of manufactured DNA sequences, transformed or transfected into bacterial hosts, especially E. coli. That is, IFN-con is recombinant IFN-con. IFN-con is  
35 preferably produced in E. coli and may be purified by procedures known to those skilled in the art and

generally described in Klein et al., J. Chromatog. 454:  
205-215 (1988) for IFN-con<sub>1</sub>. Purified IFN-con may  
comprise a mixture of isoforms, e.g., purified IFN-con<sub>1</sub>  
comprises a mixture of methionyl IFN-con<sub>1</sub>, des-methionyl  
5 IFN-con<sub>1</sub> and des-methionyl IFN-con<sub>1</sub> with a blocked  
N-terminus (Klein et al., Arc. Biochem. Biophys. 276:  
531-537 (1990)). Alternatively, IFN-con may comprise a  
specific, isolated isoform. Isoforms of IFN-con are  
separated from each other by techniques such as  
10 isoelectric focusing which are known to those skilled in  
the art.

Thus, another aspect of the present invention  
is oral delivery of chemically modified consensus  
interferon. The consensus interferon moiety may be  
15 selected from the group consisting of IFN-con<sub>1</sub>, IFN-  
con<sub>2</sub>, and IFN-con<sub>3</sub>. The chemical modification is using a  
polymer as described herein, which (i) provides  
resistance against proteolysis of the consensus  
interferon moiety; and (ii) allows uptake of consensus  
20 interferon into the bloodstream from the intestine, such  
as PEG (or other polymers as described above with regard  
to chemically modified G-CSF). Example 7 herein  
illustrates a chemically modified IFN-con<sub>1</sub> comprised of  
an IFN con<sub>1</sub> moiety connected to one or more polyethylene  
25 glycol moieties (PEG 6000 was used). As will be  
demonstrated below, the more highly pegylated  
derivatives not only demonstrated a higher circulation  
time, but also a higher bioavailability. Thus, one  
preferred form of the present invention is a pegylated  
30 consensus interferon in a pharmaceutically acceptable  
oral dosage formulation. Preferred are those oral  
dosage formulations containing as an active ingredient a  
population of chemically modified consensus interferon  
molecules, wherein a majority of chemically modified  
35 consensus interferon molecules are those to which one or  
more pharmaceutically acceptable polymer molecules which

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allow for protease resistance and uptake into the blood stream from the intestine, such as those identified above, including polyethylene glycol molecules, are attached. Thus, in the working example below, a  
5 population of chemically modified consensus interferon molecules in which virtually all members contained at least three polyethylene glycol molecules had more than double the bioavailability as compared to a population where over half of the molecules contained fewer than  
10 two polyethylene glycol moieties.

Viewed as other aspects of the present invention are those oral dosage formulations containing as an active ingredient a population of chemically modified consensus interferon molecules (preferably IFN-Con1  
15 Con1 molecules) wherein a majority of chemically modified consensus interferon molecules (such as IFN-Con1 molecules) are those to which one or more polyethylene glycol molecules are attached.

The oral dosage formulation is preferably one  
20 which allows delivery of the intact active ingredient to the small intestine, such as those formulations described above for PEG-G-CSF. The above discussion regarding generally formulations, dosages, and potential co-administration with other compositions also applies  
25 to the preparation and use of the present oral dosage forms of chemically modified consensus interferon.

Generally, conditions which may be alleviated or modulated by administration of the present polymer/consensus interferon are those to which  
30 consensus interferon is applicable and include cell proliferation disorders, viral infections, and autoimmune disorders such as multiple sclerosis.  
Cf., McManus Balmer, DICP, The Annals of Pharmacotherapy 24: 761-767 (1990) (Clinical use of biologic response  
35 modifiers in cancer treatment: an overview. Part I. The Interferons). Methods and compositions for the

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treatment of cell proliferation disorders using consensus interferon are described in PCT WO 92/06707, published April 30, 1992, which is herein incorporated by reference. For example, hepatitis (such as

5 A, B, C, D, E) may be treatable using the present pegylated consensus interferon molecules. The working example below demonstrates that, in vivo, chemically modified consensus interferon enters the blood stream through the intestine.

10 The Examples below illustrate the working of the present invention.

Example 1 details the methods of preparing recombinant human G-CSF and pegylation thereof.

Example 2 describes an in vitro demonstration

15 that a chemically modified protein (pegylated G-CSF) resists proteolysis by trypsin, which is found in the intestine.

Example 3 describes the in vivo model used to demonstrate the oral administration of a chemically

20 modified protein. In rats, pegylated G-CSF was administered directly to the duodenum, either via an infusion pump or by bolus administration. The animals were allowed to recover, and blood was withdrawn at varying intervals to ascertain two parameters, total

25 white blood cell count, and serum levels of G-CSF (via antibody detection). Intraduodenal bioequivalence as compared to intravenous injection was determined. The results demonstrate (1) the animals with pegylated G-CSF so administered demonstrated an increased white blood

30 cell count over controls (with non-pegylated G-CSF or vehicle only); and (2) the animals with pegylated G-CSF administered demonstrated increased serum levels of G-CSF over controls (with non-pegylated G-CSF or vehicle only). This shows that the pegylated, biologically

35 active G-CSF not only survived the conditions in the duodenum, but also permeated the intestinal lining to

get into the blood stream at levels sufficient to stimulate a therapeutic response.

Example 4 presents additional data for serum levels of G-CSF using iodinated PEG-G-CSF, which  
5 provides for more sensitivity than antibody detection. Using the more sensitive assay, steady state serum levels of the protein are demonstrated over the period of intraduodenal infusion.

Example 5 describes an in vivo protocol for  
10 ascertaining the optimum location in the gut for release of the biologically active pegylated G-CSF. This information is instructive for determining the precise oral dosage formulation, which an ordinary skilled artisan may prepare for release in this target location.  
15 Generally, in a rat model, portions of the gut were physically isolated by surgically tying off and cutting the sections (at the duodenum, jejunum, ileum or colon). Pegylated G-CSF was administered into the isolated intestinal section, and blood samples were monitored for  
20 serum levels of rhG-CSF by ELISA. While there was detectable levels of the PEG-G-CSF in the serum from all portions of the gut, the results indicate that PEG-G-CSF administered to the duodenum and the ileum is optimal (highest serum levels).

25 Example 6 demonstrates that PEG-G-CSF associated with a lipid carrier enhances the therapeutic response elicited by PEG-G-CSF delivered to the duodenum. PEG-C-CSF was formulated using an anionic lipid, and delivered intraduodenally. The results show  
30 a higher white blood cell count as compared to PEG-G-CSF alone.

Example 7 demonstrates the preparation and characterization of pegylated consensus interferon.

Example 8 demonstrates proteolysis of  
35 unmodified consensus interferon using enzymes found in



the small intestine, illustrating that unmodified protein readily proteolyzes upon reaching the stomach.

Example 9 demonstrates the enteral delivery of consensus interferon. As with pegylated G-CSF, 5 pegylated consensus interferon passes through the lining of the intestine and is found in the serum.

The below examples are for purposes of illustration, and it is to be understood that variations and modifications will occur to those skilled in the 10 art. Therefore, it is intended that the appended claims cover all such equivalent variations which come within the scope of the invention as claimed.

#### BRIEF DESCRIPTION OF THE DRAWINGS

15

FIGURE 1 illustrates the rodent gastro-intestinal tract, and diagrams the in vivo model of intraduodenal delivery used herein.

FIGURE 2 illustrates the resistance of 20 pegylated G-CSF to trypsin proteolysis in an in vitro assay.

FIGURE 3 illustrates the total white blood cell response to PEG-G-CSF given by intraduodenal infusion, as compared to PEG-G-CSF administered by i.v., 25 and non-pegylated rhG-CSF and vehicle administered by intraduodenal infusion.

FIGURE 4 illustrates the serum levels of rhG-CSF following administration of PEG-G-CSF intravenously and intraduodenally by infusion.

FIGURE 5 illustrates the total white blood 30 cell response to PEG-G-CSF administered by intraduodenal and intravenous bolus and non-pegylated G-CSF given by intraduodenal bolus alone.

FIGURE 6 illustrates the serum rhG-CSF levels 35 in response to intraduodenal and intravenous bolus administration of PEG-G-CSF. Also shown is the serum

rhG-CSF level in response to intraduodenal bolus administration of non-pegylated rhG-CSF.

FIGURE 7 (a) illustrates a comparison of intravenous and intraduodenal pump infusion of <sup>125</sup>I-labelled PEG-G-CSF serum levels. FIGURE 7 (b) illustrates a comparison of AUC for each rat following intravenous and intraduodenal administration of <sup>125</sup>I-PEG-G-CSF.

Figures 8 (a) and (b) illustrate serum levels of rhG-CSF after PEG-G-CSF administration to different sections of the rat gut.

FIGURE 9 is a bar graph illustrating the net average AUC of serum levels of rhG-CSF after administration of PEG-G-CSF to different sections of the rat gut.

FIGURE 10 (a) is a graph illustrating the effect of DOPG on total WBC response to intraduodenal infusion of rhG-CSF. FIGURE 10 (b) is a graph illustrating this response using PEG-G-CSF.

FIGURE 11 is a graph illustrating the effect of DOPG on serum levels of PEG-G-CSF after intraduodenal pump infusion.

FIGURE 12 is a graph illustrating the proteolysis of unmodified consensus interferon by trypsin and chymotrypsin.

FIGURE 13 is a graph illustrating the plasma levels of unmodified consensus interferon, as determined by antibody detection, after intravenous administration or intraduodenal administration.

FIGURE 14 is a graph illustrating the plasma levels of chemically modified consensus interferon wherein greater than 50% of the consensus interferon is modified at a 1:1 ratio of PEG: protein moieties, as determined by antibody detection, after intravenous or intraduodenal administration.

FIGURE 15 is a graph illustrating the plasma levels of chemically modified consensus interferon wherein all molecules contain three or more polyethylene glycol moities, as determined by antibody detection, after intravenous or intraduodenal administration.

# DETAILED DESCRIPTION OF THE INVENTION

## Example 1: Preparation of Pegylated G-CSF

A. Preparation of Recombinant Human met-G-CSF

Recombinant human met-G-CSF was prepared as described above according to methods in the Souza patent, U.S. Pat. No., 4,810,643. The rhG-CSF employed was an E. coli derived recombinant expression product having the amino acid sequence (encoded by the DNA sequence) shown below (Seq.ID NOs.1 and 2):

```

20  ATG ACT CCA TTA GGT CCT GCT AGC TCT CTG CCG CAA AGC TTT CTG
    M  T  P  L  G  P  A  S  S  L  P  Q  S  F  L

    CTG AAA TGT CTG GAA CAG GTT CGT AAA ATC CAG GGT GAC GGT GCT
    L  K  C  L  E  Q  V  R  K  I  Q  G  D  G  A

25  GCA CTG CAA GAA AAA CTG TGC GCT ACT TAC AAA CTG TGC CAT CCG
    A  L  Q  E  K  L  C  A  T  Y  K  L  C  H  P

    GAA GAG CTG GTA CTG CTG GGT CAT TCT CTT GGG ATC CCG TGG GCT
    E  E  L  V  L  L  G  H  S  L  G  I  P  W  A

30  CCG CTG TCT TCT TGT CCA TCT CAA GCT CTT CAG CTG GCT GGT TGT
    P  L  S  S  C  P  S  Q  A  L  Q  L  A  G  C

    CTG TCT CAA CTG CAT TCT GGT CTG TTC CTG TAT CAG GGT CTT CTG
    L  S  Q  L  H  S  G  L  F  L  Y  Q  G  L  L

35  CAA GCT CTG GAA GGT ATC TCT CCG GAA CTG GGT CCG ACT CTG GAC
    Q  A  L  Q  G  I  S  P  E  L  G  P  T  L  D

    ACT CTG CAG CTA GAT GTA GCT GAC TTT GCT ACT ACT ATT TGG CAA
    T  L  Q  L  D  V  A  D  F  A  T  T  I  W  Q

    CAG ATG GAA GAG CTC GGT ATG GCA CCA GCT CTG CAA CCG ACT CAA
    Q  M  E  E  L  G  M  A  P  A  L  Q  P  T  Q

45  GGT GCT ATG CCG GCA TTC GCT TCT GCA TTC CAG CGT CGT GCA GGA
    G  A  M  P  A  F  A  S  A  F  Q  R  R  A  G
  
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GGT GTA CTG GTT GCT TCT CAT CTG CAA TCT TTC CTG GAA GTA TCT  
G V L V A S H L Q S F L E V S  
TAC CGT GTT CTG CGT CAT CTG GCT CAG CCG TAA TAG  
5 Y R V L R H L A Q P \* \*

(This was also the non-pegylated composition used for the control animals.) Alternatively one may use purchased Neupogen® for the following pegylation  
10 procedures (the U.S. package insert for which is herein incorporated by reference). Recombinant human material was used for the rodent studies herein. Of course, if one so desires when treating non-human mammals, one may use recombinant non-human G-CSF's, such as recombinant  
15 murine, bovine, canine, etc. See PCT WO 9105798 and PCT WO 8910932, for example.

#### B. Preparation of Chemically Modified G-CSF

Recombinant human met-G-CSF with predominantly two, three or four polyethylene glycol molecules attached  
20 was used in the examples using pegylated G-CSF. Attachment was accomplished via the reactive amino groups. The mean molecular weight of the pegylated G-CSF was between about 36,500 Daltons and about 42,500 Daltons, with the molecular weight of the polyethylene glycol chains being  
25 about 6000 Daltons each. (The mean molecular weight for this material was between about 29kDa and about 90kDa, as determined by SDS PAGE.) As indicated above, the polyethylene glycol molecule employed may be of various sizes, however, previous studies (data not shown)  
30 indicated that using G-CSF pegylated with predominantly two to three molecules of PEG-2000 resulted in rapid clearance, and therefore, no sustained circulation (which may be undesirable for oral delivery). The level of polyethylene glycol derivatization was determined to be:  
35 monopegylated, 3.4%; dipegylated, 31.9%; tripegylated, 49.3% and tetrapegylated, 15.4%. The in vitro biological activity (as determined by H<sup>3</sup>thymidine uptake assays) was determine to be 9% as compared to non-pegylated

recombinant met G-CSF. The in vivo biological activity was determined to be 268% of non-pegylated recombinant met G-CSF.

5 The following method was used to prepare the pegylated G-CSF used in the studies described herein.

The polyethylene glycol was prepared in three steps: First, the synthesis of the ethyl ester of  $\alpha$ -carboxymethyl  $\omega$ -methoxypolyethylene glycol (CM-MPEG) was performed. 8.3 mmol of monomethoxypolyethylene glycol  
10 (MPEG) from Union Carbide, (MW. = 6,000) was dissolved in 300 ml of t-butanol at 50°C under nitrogen. 84 mmol of ethyl bromoacetate was then added and incubated again O/N at 50°C. After filtering through a sintered glass funnel and the addition of 200 ml of methylene chloride, the  
15 filtrate was concentrated 5-fold under vacuum. The ethyl ester of CM-MPEG was then precipitated by addition of 1 volume of the concentrated filtrate to 5-10 volumes of diethyl ether at 4°C, and collected on a sintered glass funnel and dried.

20 Next, the synthesis of  $\alpha$ -carboxymethyl  $\omega$ -methoxypolyethylene glycol (CM-MPEG) was performed. 50 g of the CM-MPEG ethyl ester was dissolved in 200 ml of 0.1 M NaOH. After incubation O/N at room temperature under nitrogen, the solution was cooled to 4°C and the pH  
25 adjusted to 3 with 2 N HCl. NaCl was added to saturation before extraction (3x) with equal volumes of methylene chloride. The combined organic phase was dried over anhydrous magnesium sulfate, filtered and concentrated to a final volume of 100 ml. The CM-MPEG was precipitated by  
30 addition to 500 ml of diethyl ether at 4°C, collected, and 50 g was redissolved in 150 ml of 0.1 M NaOH, the CM-MPEG was again precipitated by addition to 500 ml of diethyl ether at 4°C, collected and dried.

Next, the synthesis of N-hydroxysuccinimidyl  
35 ester of carboxymethyl methoxypolyethylene glycol (SCM-MPEG) was completed. In 120 ml of anhydrous

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methylen chloride was combined 5 mmol of the CM-MPEG, 10 mmol of N-hydroxy succinimide (NHS) and 10 mmol of dicyclohexycarbodiimide (DCC). After incubation for 8 hours at room temperature, the precipitated  
5 dicyclohexylurea was removed by filtration and the filtrate concentrated to 50 ml prior to addition to 600 ml of diethyl ether at 4°C.

The precipitated SCM-MPEG was collected by filtration on a sintered glass funnel and redissolved in  
10 anhydrous methylene chloride. After a second precipitation in diethyl ether, the SCM-MPEG was collected and dried. The SCM-MPEG was characterized by spectroscopic analysis and HPLC prior to conjugation to rhG-CSF.

15 To a 100 ml solution of rhG-CSF 10 mg/ml, in 100 mM Bicine pH 8.0, was added a 15 fold molar excess of the N-hydroxysuccinimidyl ester of carboxymethyl methoxypolyethylene glycol (SCM-MPEG, prepared as above). The reaction was for 1 hour at room temperature prior to  
20 dilution (x5) with distilled water to a total volume of 500 ml. The pH was adjusted to 4.0 with 1mM HCl.

The PEG-G-CSF was purified by FPLC using a Toyopearl SP 550C column (5 x 17 cm) (Pharmacia), prewashed with 700 ml of 0.2N NaOH, and pre-equilibrated with 1.3 L  
25 of column buffer, 20mM sodium acetate buffer pH4.0. The reaction mixture was loaded onto the column at a flow rate of 8 ml/minute, and the column was then washed with 1 L of the column buffer. 1.3 L of eluting buffer, column buffer containing 1 M NaCl, was pumped onto the column in a step  
30 gradient, and the PEG-G-CSF was eluted at 350 mM NaCl.

The fractions containing the PEG-G-CSF were pooled, concentrated to approximately 100 ml in an Amicon stirred cell using a YM10, 76 mm diameter Diaflo ultrafiltration membrane (Amicon). The PEG-G-CSF was then  
35 buffer exchanged using 600 ml of formulation buffer, 10 mM sodium acetate pH 4.0 and 5% mannitol and 0.004% Tween 80.

The A<sub>280</sub> was determined and the protein diluted to 1mg/ml with formulation buffer, filter sterilized, and vialled.

The in vitro biological activity of the pegylated G-CSF was determined by measuring the stimulated uptake of <sup>3</sup>H thymidine into mouse bone marrow cells prior to use in the studies below. The in vivo biological activity was also determined prior to use, by subcutaneous injection of hamsters (with 20 or 100 µg/kg PEG-G-CSF) and measuring total white blood cell count. Bioactivity as compared to non-pegylated G-CSF was calculated as the area under the WBC/time curve after subtracting the vehicle control curve. Relative bioactivity of the PEG-G-CSF was expressed as the percentage bioactivity compared to unmodified G-CSF (AUC<sub>test</sub>/AUC<sub>G-CSF</sub> x 100).

**Example 2: In Vitro Protection From Proteases Found In The Intestine**

This study demonstrates that in vitro, pegylated G-CSF is extremely resistant (without other protective formulation) to proteolysis by the enzyme trypsin which is found in the intestine. While not conclusive, this model is indicative of in vivo conditions in the intestine because roughly the same proportions of enzymes, and physiological conditions (pH, temperature, salinity) were used.

Generally, pegylated G-CSF (prepared as above) was incubated with trypsin, and the reaction was stopped at various time intervals over a 4 hour incubation. Samples taken at these times were tested for the amount of degradation by SDS-PAGE and Western blotting using antibodies against G-CSF, detected using iodinated protein A. The results, as presented in the graph at FIGURE 2 demonstrate the protective effects of pegylation: after 30 minutes, greater than 90% of the pegylated material was intact, whereas approximately 55% of the non-pegylated material was intact; after 240

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minutes, at least 90% of the pegylated material remained while the non-pegylated material dropped to less than 30%. In vivo, there would be other enzymes, and additional factors affecting the rate of degradation.

5           The methods were as follows: rhG-CSF or PEG-GCSF as prepared above, at 100 µg/ml, in a total volume of 5 ml of phosphate buffered saline, (PBS) was incubated at 37°C with trypsin (1 µg/ml, Sigma St. Louis, MO). For the times indicated at 37°C. At the  
10 appropriate time points, 200 µl of sample was withdrawn and added to an Eppendorf tube at 4°C containing 9 µl of a protease inhibitor cocktail, consisting of N-tosyl-L-lysine chloromethyl ketone (TLCK), 20 µg; (4-amidinophenyl) methanesulfonyl fluoride (APMSF), 16  
15 µg; and alpha 2-macroglobulin, 1IU, (all from Boehringer Mannheim, Indianapolis, IN). After thorough mixing, 5 µl of the sample (5 µg of G-CSF) was diluted to 5 µg /ml in PBS. 50 ng of the protein were then run under reducing conditions as described by Laemmli (Nature 227:  
20 680-685 (1970)) on SDS-PAGE (Integrated Separations Systems or ISS, Natick, MA). After transfer, the protein was detected by incubation with a polyclonal antibody to rhG-CSF. The bound anti-G-CSF antibody was then detected by incubation of the blot with <sup>125</sup>I-protein  
25 A (Amersham, Arlington Heights, IL) and autoradiography. Quantitation of the remaining intact protein and of the degradation products was by cutting and counting of the Immobilon using the autoradiograph as the template.

30           **Example 3: In Vivo Duodenal Administration of Pegylated G-CSF Results In Biological Effects**

          The in vivo rat model, in which PEG-G-CSF is administered directly to the duodenum, is indicative of oral administration because, as pointed out above,  
35 formulations exist for delivering therapeutics to the intestine, beyond the hostile environment of the mouth,



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esophagus and stomach. The animals with pegylated G-CSF so administered demonstrated an increased white blood cell count over controls (with vehicle only). This shows that the pegylated, biologically active G-CSF not only survived the conditions in the duodenum, but also passed through the intestinal lining to the blood stream.

Further analysis compared the effects of intraduodenal administration to intravenous administration. This bioequivalence analysis demonstrated that as compared to intravenous administration, intraduodenally administered PEG-G-CSF (1) had 4-5% of the biological effectiveness (as ascertained by total white blood cell count after 90 hours), and (2) had approximately 2% of the serum level (as determined by ELISA after 90 hours). The mode of dosing was also compared i.e. chronic administration vs. acute, by a comparison of responses to infused and bolus administered PEG-G-CSF.

20

#### Materials and Methods

A. Animals. Male SPF Sprague-Dawley rats, weighing between 250-350 grams, treated in accordance with all applicable laws and regulations, were used. For each cohort below, either four or five animals were used.

B. Surgery. Animals were anesthetized with 50mg/kg of intraperitoneal Nembutol. The duodenum in each animal was exposed, and a small incision was made in the wall of the duodenum. A catheter (used for the delivery of the drug) [10 cm silastic medical grade tubing, 0.02 x 0.037 in., Baxter, Irvine, CA] was inserted to the distal end of the duodenum (approximately 8 cm) so that PEG-G-CSF would not enter the blood stream through the surgical incision (thereby having an artifactual effect). Moreover, release of the

drug at the distal end of the duodenum (that part proximate to the jejunum) provides some indication of the effect of a formulation designed to release active compound into the duodenum (i.e., the typical release might be just above the duodenum/jejunum border). Release at the distal end avoids bile influx which contains proteases. After administration of PEG-G-CSF, the incision was closed with a purse string suture, and the animals were maintained as usual.

10 C. Administration. Administration of the pegylated G-CSF was accomplished in two ways, (1) via direct bolus administration through the catheter, and (2) via implanted pump infusion (for continuous administration over a 24 hour period). For each type of administration, a non-pegylated G-CSF control group was used, as were vehicle controls.

For intraduodenal bolus administration, PEG-G-CSF (as prepared above) was placed in a 1 cc syringe with a tubing adaptor, and then injected directly into the duodenum through the catheter. The proteins at the indicated doses were injected into the duodenum in 200  $\mu$ l of formulation buffer, 10 mM sodium acetate pH 4.0 and .004% Tween 80. The catheter was withdrawn, and the suture closed tightly. The animal was allowed to recover.

For intravenous bolus administration (used as controls) 200  $\mu$ l of formulation buffer containing the required dose of protein was administered through the penile vein.

30 For the intraduodenal pump infusion, an osmotic pump [Alzet, mini-osmotic pump, model 2001D (Alza) Palo Alto, CA] was placed on the tip of the catheter located in the peritoneal cavity (See FIGURE 1). Prior to such placement, the pump was prefilled with pegylated G-CSF (as prepared above) or controls, at indicated dose, in 221  $\mu$ l of formulation buffer, and the

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pump was activated via osmotic means (absorbing water from the animal to push the drug out) to deliver 8-9  $\mu\text{l/hr}$  for 24 hours. In all cases, the value given for the dose refers to total dose over 24 hours. The  
5 incision was closed, and the animal was allowed to recover.

For the intravenous pump infusion of the proteins, an incision (approx. 3-4 cm ) was made under the neck of the rat. The left jugular vein was exposed,  
10 and the 10 cm silastic catheter was introduced 2 cm into the vein. The Alzet pump containing the proteins was attached to the catheter, and implanted into the nape of the neck between the shoulder blades.

D. Dosing. For intraduodenal infusion the  
15 animals were administered the proteins, both PEG-GCSF and non-pegylated GCSF at doses greater than 750  $\mu\text{g/kg}$  over 24 hours (for actual amounts see Figures). For the intravenous infusion the doses of the proteins were less than 50  $\mu\text{g/kg}$  over 24 hours. Animals receiving the  
20 proteins via intraduodenal bolus administration were given doses of 500  $\mu\text{g/kg}$  whereas the intravenous bolus dosing was ~5  $\mu\text{g/kg}$ .

E. Monitoring. For the intraduodenal infusion studies, blood samples (500  $\mu\text{l}$ ) were drawn from  
25 the tail vein of each of the test and control groups at twelve-hour intervals for 96 hours. For the bolus injection studies either intraduodenal or intravenous blood samples (500  $\mu\text{l}$ ) were drawn through an indwelling cannula in the right Jugular vein. The cannulas were  
30 implanted 2 days prior to drug administration to allow the animals to recover, and were kept patent by flushing twice daily with 100  $\mu\text{l}$  of saline containing 20 U/ml of heparin.

Total white blood cell counts were determined  
35 using a Sysmex (Baxter, Irvine, CA) F-800 microcell counter. Serum was prepared by centrifuging the blood

samples in an Eppendorf centrifuge at 12000 rpm, 11750 x g, for 15 minutes. The serum was removed and stored at -80°C until an ELISA for rhG-CSF could be performed.

5                   Serum levels of PEG-G-CSF and non-pegylated G-CSF were determined by ELISA, containing a monoclonal antibody specific for G-CSF, (Quantikine, available from R&D Systems, Indianapolis, Indiana, US), according to the instructions, which are herein incorporated by  
10 reference. The standard curves were set up from 5000 pg/ml to 78 pg/ml of the exact same protein that had been administered to the animals. The serum levels of the proteins were then determined from the relevant curve.

15                   Results

1. Intraduodenal infusion (FIGURES 3 and 4).

As can be seen in Figure 3, the cohort receiving PEG-GCSF intraduodenally had much higher total white blood cell counts at 12 hours (~36,000/ $\mu$ l) than did the  
20 intraduodenal non-pegylated controls (~16,000/ $\mu$ l). One can also see that the latter group is not raised over the baseline (T = 0) WBC count, as is also the case for the i.d. vehicle group. (see FIGURE 3) An interesting point to note is that PEG-G-CSF given intraduodenally  
25 stimulates an earlier increase in white blood cells than intravenous administration. The doses, however, for the intraduodenal and intravenous administrations are very different (since the comparison of these responses was for the determination of bioequivalence, see Table 2).  
30 This earlier WBC increase may be a result of the different doses or routes of administration in that (a) there may be a difference in the rate of white blood cell production or (b) there may be a difference in the activation of neutrophils and therefore margination, or  
35 (c) a combination of both. Another observation is that neither the non-pegylated G-CSF nor vehicle cohorts

showed elevated white blood cell counts until after 48 hours (after the PEG-G-CSF response began to decrease), and this may be due to rejection of the osmotic pump or other immune artifact.

5           The serum levels for the same experiment are shown in Figure 4. No values are shown for the non-pegylated G-CSF control group, since the ELISA assay showed no detectable serum levels of rhG-CSF (i.e. less than 50 pg/ml). The serum levels achieved by  
10 intraduodenal and intravenous infusion of PEG-G-CSF are not directly comparable due to the difference in dose. Instead these data were used for the determination of bioavailability and are shown in Table 2. One can see however that serum levels of PEG-G-CSF are highly  
15 elevated for the protein after intraduodenal infusion as compared to the undetectable levels after non-pegylated GCSF administration via the same route.

## 2. Bolus administration (FIGURES 5 and 6).

20           As can be seen in FIGURE 5, the total WBC for the test group at 5 hours was approximately 21,500/ $\mu$ l, whereas for the G-CSF control group, the level at 5 hours was much less (approximately 16000/ $\mu$ l) which was not significantly raised over baseline (T = 0). As can  
25 also be seen from this FIGURE, G-CSF alone produced some effect in the short term, indicating that the intestinal lining permitted traversal by both the larger pegylated and smaller non-altered molecules. The sustained WBC levels for the pegylated product indicate that there is  
30 protection from the duodenal environment, as well as increased serum circulation time as compared to non-pegylated GCSF. The same rapid increase in WBC is seen with the i.d. administration compared to i.v. FIGURE 6 illustrates the serum levels as determined by  
35 ELISA, of PEG-G-CSF administered by both the i.d. and i.v. routes, and non-pegylated material administered by

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the i.d. route. For the pegylated cohort, the serum levels remained relatively constant for the first six hours, and gradually decreased thereafter, the decrease paralleling that of the i.v. administered material. As  
5 can be seen, the serum levels for the non-pegylated G-CSF were half the values of the PEG-G-CSF group and were extremely variable (some animals had undetectable amounts) and below the level of detection in the entire group after 6 hours.

10           3. Bioequivalence analysis. An analysis was performed to compare intraduodenal administration of the proteins to intravenous administration. The results show that intraduodenal administration by the infusion method has between 4% and 5% of the biological  
15 effectiveness ("bioequivalence") of intravenously administered pegylated G-CSF, as determined by white blood cell count. These WBC count data are presented in Table 1, below. Bioavailability as determined by serum levels (1.8%) is somewhat lower than that determined  
20 from WBC (4.6%). The serum level data are presented in Table 2 below.

In general, % bioequivalence is determined by measuring the area under the white blood cell count curve ("AUC") for intraduodenally administered ("id")  
25 material (corrected for the vehicle), and dividing that number by the AUC for intravenously ("iv") administered material (again corrected for the vehicle). This number is multiplied by the reciprocal dosage. The product is multiplied by 100 for the percentage. For  
30 bioavailability in terms of serum, the calculation is the same.

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The equation may be represented as:

$$\% \text{ Bioequivalence} = \frac{\text{AUC}_{\text{id}}}{\text{AUC}_{\text{iv}}} \times \frac{\text{Dose}_{\text{iv}}}{\text{Dose}_{\text{id}}} \times 100$$

5 In the Tables below, the notation "ND" means not detectable.

Table 1

Bioequivalence of PEG-G-CSF<sub>id</sub> vs. PEG-G-CSF<sub>iv</sub>  
As Determined Using White Blood Cell Counts

10

<u>Protein</u>	<u>Administration</u>	<u>Dose</u> <u>(ug/</u> <u>kg)</u>	<u>Net Ave. AUC</u> <u>(hours/AUC)</u>	<u>% Bio-</u> <u>equiv.</u>
rhG-CSF	24 hour infusion iv	25	90hrs/1488	100
rhG-CSF	24 hour infusion id	755	90hrs/ND	0
PEG-G-CSF	24 hour infusion iv	50	90hrs/1136	100
PEG-G-CSF	24 hour infusion id	823	90hrs/852.24	4.6
rhG-CSF	bolus iv	50	24hrs/216	100
rhG-CSF	bolus id	500	24hrs/40.2	1.86
PEG-G-CSF	bolus iv	5.96	24hrs/234	100
PEG-G-CSF	bolus id	500	24hrs/156	0.84

Table 2  
 Bioavailability of PEG-G-CSF<sub>id</sub> vs. PEG-G-CSF<sub>iv</sub>  
 As Determined Using Serum Levels

<u>Protein</u>	<u>Administration</u>	<u>Dose</u> <u>(<math>\mu</math>g/kg)</u>	<u>Net Ave. AUC</u> <u>(hours/AUC)</u>	<u>% Bio-</u> <u>avail.</u>
rhG-CSF	24 hour infusion iv	25	90hrs/ $2.0 \times 10^5$	100
rhG-CSF	24 hour infusion id	755	90hrs/ND	0
PEG-G-CSF	24 hour infusion iv	50	90hrs/ $2.17 \times 10^6$	100
PEG-G-CSF	24 hour infusion id	823	90hrs/ $6.3 \times 10^5$	1.8
rhG-CSF	bolus iv	50	24hrs/ $7.23 \times 10^7$	100
rhG-CSF	bolus id	500	24hrs/ $1.8 \times 10^3$	0.00025
PEG-G-CSF	bolus iv	5.96	24hrs/ $2.7 \times 10^5$	100
PEG-G-CSF	bolus id	500	24hrs/ $1.1 \times 10^4$	0.05

5

Thus, importantly, Table 1 shows that after a 24 hour id infusion of PEG-G-CSF, material has entered the bloodstream and has a measurable biological response, which is much greater (4.6%) than that for native rhG-CSF (0%). In fact, non-pegylated rhG-CSF does not stimulate any white blood cell response when administered by infusion i.d., nor are there detectable levels of the protein in the serum.

In contrast, bolus administration of PEG-G-CSF and rhG-CSF did not result in such large differences between the two proteins. The reason for the almost equivalent WBC responses for the PEG-G-CSF and for native G-CSF probably lies in the fact that the time points were not taken beyond 24 hours and therefore the major part of the PEG-G-CSF response i.e. prolonged elevated WBC, was not measured. A comparison of the serum levels of PEG-G-CSF and rhG-CSF over just the 24 hour period shows much greater bioavailability of the pegylated protein, the AUC is 10-fold greater. One can



see, however, that the serum levels following the bolus administration of PEG-G-CSF are much smaller than following the infusion method, 0.05% bioavailability compared to 1.8%. It would seem that the infusion

5 method of administering the protein produces the best bioavailability and therapeutic responses and that a tablet formulation producing a prolonged or sustained exposure of the gut to PEG-G-CSF would be preferable.

These data are further illustrated in FIGURE  
10 3. As can be seen, PEG-G-CSF by intraduodenal administration has an earlier effect on white blood cell count than PEG-G-CSF administered intravenously. Also shown are the vehicle and non-pegylated G-CSF controls, which show no such increase in white blood cell count.  
15 The increase shown at 48 hours for the vehicle may be due to rejection of the osmotic pump or other immune artifact.

FIGURE 4 further illustrates intravenous and intraduodenal administration of PEG-G-CSF. Although the  
20 doses administered are very different, FIGURE 4 shows that the clearance rate of the id administered PEG-GCSF is similar to that for intravenously administered material. Again, as shown by the data in Table 1, non-pegylated G-CSF serum levels were not measurable.

25 In summary, the in vivo studies here demonstrate the availability of a chemically modified protein for uptake by the intestine, and, importantly, the therapeutic activity of such protein. More particularly, the studies demonstrate that pegylated  
30 G-CSF delivered to the intestine is present in the blood stream and causes an increase in white blood cells, and that the oral formulation of such composition will be a useful therapeutic.

**Example 4: Confirmation of Serum Levels**

One interesting observation using the ELISA assay was that, for the infusion system, the serum levels of PEG-G-CSF dropped while the pump was in operation. In addition, the bioavailability of the protein given by the serum values was consistently lower than the bioequivalence values, i.e., the response, and this was especially true of the bolus administration data. To confirm these data, a more sensitive assay was used. The data were confirmed (see Table 3, below). One explanation for this occurrence is that the initial response to PEG-G-CSF causes a rapid rise in the neutrophil level. Creating this rapid rise also increased the apparent clearance of the protein, possibly due to an increase in the number of G-CSF receptors on the neutrophils. As the neutrophil count increases, the serum levels of the protein appear to decrease (because it is bound to the neutrophils and so does not appear in the serum and thus there is no accumulation of PEG-G-CSF in the serum). This is consistent with results published elsewhere. G. Morstyn et al., TIPS 10: 154-159 (1989); Layton et al., Blood 74: 1303-1307 (1989).

For this assay,  $^{125}\text{I}$ -labelled PEG-G-CSF was used, as were iv and id methods as described above. The difference is the dosage, as here, 1/1000 of the dose was used as compared to the previous studies: 661 nanograms/kg for intravenous administration, and 728 nanograms/kg for intraduodenal administration (whereas microgram quantities were used previously). Total blood levels of TCA-precipitable  $^{125}\text{I}$  label were determined in a Cobra 5000 gamma counter (Packard, Downers Grove IL), and the data converted to picograms per ml.

The results of both the intravenous and intraduodenal administration of the  $^{125}\text{I}$ -labeled PEG-G-CSF are shown in FIGURE 7a. As one can see, by

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administering low, non-therapeutic doses of the proteins, and thus not stimulating neutrophil elevation, steady state levels of the PEG-G-CSF have been achieved by both routes. When the pumps have finished at 24 hours, levels of the protein drop in the blood in parallel as one would expect. Even with the increased sensitivity of detection of this method, blood levels are not detectable below 20 pg/ml (see id administration).

Calculation of the individual AUC for each animal in the cohort is shown in FIGURE 7b and without the change in clearance of the protein, is a more accurate measure of actual bioavailability. The data are summarized in Table 3 below.

Table 3

Bioavailability of  $^{125}\text{I}$ -labeled PEG-G-CSF<sub>id</sub> vs.  $^{125}\text{I}$ -labeled PEG-G-CSF<sub>iv</sub> as determined using whole blood levels.

Protein	Administration	Doses ( $\mu\text{g}/\text{kg}$ )	Net Ave. AUC (hrs/AUC)	% Bioavail- ability
$^{125}\text{I}$ -PEG-G-CSF	24 hour	0.661	48 hrs/	100
	infusion i.v.		71,986 $\pm$ 8769	
$^{125}\text{I}$ -PEG-G-CSF	24 hour	0.728	48 hrs/	3.5
	infusion i.d.		2,732 $\pm$ 192	

The data for the AUC give a value for the bioavailability of 3.5% as compared to intravenous administration, which is closer to the number for the bioequivalence given in Table 1 of 4.6%.

**Example 5: Localization of Delivery Target In the Small Intestine**

As described above, a variety of oral dosage formulations are available in the art, and one aspect is formulation so that the tablet (or capsule, etc.) will dissolve in a desired location in the gut. This in situ study was designed to find the small intestine location yielding optimal (in this case, maximal) bioavailability as determined from serum levels of the protein. The results show that delivery to the duodenum and ileum produces the highest serum levels of the protein.

**Materials and Methods**

The in situ closed loop animal model used here was a modified version of that described by Schilling and Mitra, Pharm. Res. 9: 1003-1009 (1992).

Animals. Male Sprague-Dawley rats weighing 200-250 g were fasted 16-20 hr prior to the experiment. Water was allowed ad libitum. The animals were anesthetized by an intraperitoneal injection of a mixture of 90 mg/kg ketamine and 10 mg/kg xylazine. One-third to one-half of the original dose was administered every 45-60 min thereafter to maintain anesthesia/analgesia. The core body temperature was maintained at 37°C by placing the animal on a heating pad.

IV Catheterization. Cannulation of the right external jugular vein was performed by inserting a 10 cm piece of Silastic tubing, (Baxter, Irvine, CA). A collar made from a 1 cm piece of PE 200 polyethylene tubing was attached to the outer end of the Silastic tubing. Before insertion, the cannula was filled with saline containing 10 U/ml heparin. A 23-gauge needle was inserted into the cannula and was used with a heparinized 1 ml syringe for the removal of blood samples.

Bile Duct Catheterization. Cannulation of the bile duct was necessary to prevent excess accumulation of bile in the non-ligated gut over the 4 hours of the experiment. A midline abdominal incision was made, and the duodenum and a small part of the intestine was pulled out and placed on a gauze pad moistened with physiological saline to expose the bile duct. Two ligatures were made, one ligature was tied tightly immediately in front of the pancreatic tissue to prevent the flow of bile, the second ligature was partly tied 5 mm from the first ligature and near to the liver. A polyethylene tube (0.28 mm id and 0.61 mm od) beveled at one end, was introduced into the bile duct, toward the liver, through a fine incision. The catheter was advanced past the second ligature which was then tightened to secure the catheter in the bile duct. The free ends of the first ligature were then secured.

ID Administration. Next, intestinal segments were measured with a string. Experiments were carried out in individual animals to test for PEG-G-CSF absorption from the duodenum (11 cm from the pylorus), the proximal jejunum (20 cm from the pylorus), the distal ileum (6 cm above the cecum), and the colon (10 cm from the cecum). The desired segment was opened at each end and a piece of Tygon tubing (4-mm o.d. from VWR Scientific, Cerritos, CA) was inserted into the proximal opening. A peristaltic pump was employed to perfuse 30 ml of physiological saline (Abbott Laboratories, Chicago IL) at 37°C and 2 ml/min into the intestine to remove any residual gut contents. Each segment (10 cm) was ligated both above and below the incisions to prevent any fluid loss, and air was pumped through the segment to remove any residual saline. PEG-G-CSF solution in 500 µL of formulation buffer, 10 mM sodium acetate, pH 4.0, 5% mannitol and 0.004% Tween 80, at a dose of 750 µg/kg, was injected into the mid-portion of the segment

using a 27 gauge half inch needle. The segment was carefully returned to its original position inside the peritoneal cavity and the abdominal cavity was closed with surgical staples. Blood samples (250  $\mu$ L) were  
5 obtained at 0, 2, 5, 10, 15, 30, 60, 120, 180, and 240 minutes post administration for the determination of plasma rhG-CSF concentrations. Blood samples volumes throughout the experiment were replaced in the animal, with the same volume of physiological saline.

10 Intravenous Administration. To determine the bioavailability of enterally absorbed PEG G-CSF, the pegylated cytokine was administered via the penile vein (50  $\mu$ g/kg in 100  $\mu$ L of formulation buffer) of a fasted, iv and bile duct cannulated rat. Blood samples were  
15 obtained as per id administration.

Analysis. Plasma was separated by first collecting the blood into EDTA-coated Eppendorf tubes kept on ice, and then centrifuging at 10,000 rpm for 15 min. Serum samples were frozen and stored at -80°C  
20 until analysis for rhG-CSF by R&D Systems ELISA.

Results are presented in FIGURE 8. The data are the mean values from 3 separate experiments. The degree of error, as shown by error bars, may be due in part to the fact that the 3 animals for the group were  
25 studied on separate days. This would increase differences in each study, although corrections were made for certain changes, i.e. weight of the rats, etc. FIGURE 8 illustrates, however, that the higher regions of the gut i.e. duodenum and ileum, are preferable in  
30 terms of PEG-G-CSF absorption than the lower regions, such as the colon.

This fact is emphasized by the AUC analysis for the serum levels of the protein which are presented in FIGURE 9. Surprisingly, the data clearly show that  
35 the small intestine is the preferred site for an oral delivery formulation of PEG-G-CSF as opposed to the

large intestine which is not preferable. The colon is generally thought to be the most leaky region of the gut and, apart from the bacterial flora present, less hostile to proteins than the more protease-active regions of the duodenum, jejunum and ileum.

Additional studies may provide more information regarding dosing and extrapolation of optimal formulation from species to species.

10           **Example 6: Formulation of PEG-G-CSF with**  
              **Dioleoyl Phosphatidylglycerol**

Recombinant human G-CSF is able to closely interact with a negatively charged lipid, which enhances stability of the G-CSF protein. PEG-G-CSF also forms this close interaction, with protective effects. This Example demonstrates that the protective effects have a positive impact on the intraduodenal bioavailability of PEG-GCSF after formulation of the protein with a negatively charged lipid.

20           The present example relates to the negatively charged lipid dioleoyl phosphatidylglycerol (DOPG). Other formulations using negatively charged lipids in association with proteins capable of forming the molten globular state are described in commonly owned, co-pending U.S.S.N. 08/132,413, "Stable Proteins: Phospholipid Composition and Methods" which is herein incorporated by reference. The use of such negatively charged lipids as binders in oral dosage formulations has been previously demonstrated, and may be useful for the oral dosage forms here described.

30           **Methods.** DOPG from Avanti Polar Lipids Inc., Alabaster Alabama, was dissolved in anhydrous chloroform to a final concentration of 100 mg/ml. 100  $\mu$ mol of the lipid (797  $\mu$ l) were dried under vacuum and then 1 ml of milli Q water was added to make a 100 mM solution of the lipid. This solution was sonicated for 5 minutes in a

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sonicating water bath (Model G 112SP1T from Laboratories Supply Inc., Hicksville, NY) or until the lipid solution was clear. 9  $\mu$ mol of the DOPG solution (90  $\mu$ l) were added to 90 nmol of rhG-CSF or PEG-G-CSF, prepared as described above, in 1 mM HCl. The solution was vortexed and brought to a final volume of 2 ml with 1 mM HCl, prior to loading into the Alzet osmotic pumps and implantation into the animals as previously described. Dosages are shown on FIGURE 10.

**Results.** The results are illustrated in FIGURES 10, showing white blood cell count effect, and 11, showing serum levels. For total white blood cell count, the use of PEG-G-CSF elicited a higher response even as compared to non-pegylated G-CSF + DOPG (comparing FIGURE 10(a) and FIGURE 10(b)). A comparison of PEG-G-CSF without DOPG, and PEG-G-CSF + DOPG, FIGURE 10(b) illustrates that DOPG enhances the biological effect, in terms of increased total white blood cell count, of PEG-G-CSF delivered to the gut. The PEG-G-CSF + DOPG increase was nearly two fold greater than for PEG-G-CSF alone.

These results are confirmed by the serum levels of the protein, as shown in FIGURE 11. As illustrated, enteral infusion of PEG-G-CSF + DOPG results in at least a two fold increase in the serum levels of protein over PEG-G-CSF alone. The pharmacokinetics of the derivatized protein are unchanged, however.

These results demonstrate that use of an anionic lipid such as DOPG in an oral formulation of PEG-G-CSF increases the therapeutic response elicited by the derivatized protein. The increased response appears to be a result of greater bioavailability of the PEG-G-CSF.



**Example 7: Preparation and Characterization  
of Pegylation of IFN-Con<sub>1</sub>**

For the present studies, pegylated IFN-Con<sub>1</sub>, as described in U.S. Patent Nos. 4,695,623 and 4,897,471, was used. The pegylated material was prepared, and fractionated according to the degree of derivitization.

**Methods.** 20 mg of IFN-Con<sub>1</sub> (1 $\mu$ mol) was mixed with a 20 fold molar excess of 6K SCM-MPEG (Union Carbide, S. Charleston, WV) (123 mg or 20  $\mu$ mol) in 6.26 ml of 1x PBS at pH 7.0. The reaction was stirred for 1 hour at room temperature before diluting (x3) to 20 ml with distilled water. The reaction mixture was diluted (x2) with 20 mM sodium citrate pH 3.5 before purification using FPLC on an S Sepharose HP column, (1.6 x 10 cm) (Pharmacia, Piscataway, NJ) prewashed with 40 ml of 0.2N NaOH, and pre-equilibrated with 100 ml of column buffer, 20 mM sodium citrate buffer pH 3.5 (buffer A). The reaction mixture was loaded onto the column at a flow rate of 1 ml/minute. The column was then washed with 60 ml of the column buffer. The PEG-IFN-Con<sub>1</sub> was eluted with 20 column volumes (or 400 ml) of eluting buffer, 20 mM sodium citrate pH 3.5 containing 1 M NaCl (buffer B), applied as a linear gradient from 0-45% and then one column volume (or 20 ml) of a linear gradient from 45%-70%. Buffer B was held at 70% for three column volumes (or 60 ml). The PEG-IFN-Con<sub>1</sub> was eluted from the column between 30-70% of buffer B.

**Results.** For the present studies, IFN-Con<sub>1</sub> derivitized to different degrees with SCM-MPEG was used. Groups of five fractions were collected and pooled from the FPLC and these fractions were then concentrated and characterized.

Size Exclusion Chromatography Characterization.

Methods. The fractions were buffer exchanged into 1 x PBS on PD-10 columns (Pharmacia, Piscataway, NJ). The PEG-IFN-Con<sub>1</sub> was in a final volume of 3.5 ml and the protein concentration was determined by absorbance at A280 (ext. coeff. = 1.14). Fractions were characterized on Size Exclusion Chromatography on a Superdex 200 column (Pharmacia, Piscataway, NJ), eluted with 100 mM NaPO<sub>4</sub> pH 6.9 and detected at 280nm by a UV detector. The fractions were also analyzed on 4-20% SDS-PAGE (Novex, San Diego, CA).

Results. The PEG-IFN-Con<sub>1</sub> was divided into groups with different degrees of pegylation of the protein, as summarized in Table 4. "No PEG" indicates those molecules lacking observable polyethylene glycol moieties. The ratios ("1:1", "2:1", etc.) indicate PEG moiety:IFN-Con<sub>1</sub> moiety ratios in each fraction ("F1" through "F6"). As can be seen, Fraction 1 contained the largest proportion of tri-, tetra-, and penta-pegylated IFN-Con<sub>1</sub> molecules.

Table 4. Fractions of PEG-IFN-Con<sub>1</sub>.

Modification	% of Derivative in each fraction					
	F1	F2	F3	F4	F5	F6
PEG: IFN-Con <sub>1</sub>						
No PEG				4.5	10.0	47.2
1:1			4.5	28.5	60.0	45.2
2:1		12.7	62.6	40.7	15.8	5.3
3:1	23.4	25.2	9.1	10.7	12.8	2.4
4:1	51.9	56.5	20.0	15.7	1.9	
5:1	24.6	5.4	3.9			

For determination of the effect of pegylation on the enteral bioavailability of the protein, fractions F1 (with virtually all protein containing at least three polyethylene glycol molecules) and F5 (having a majority of the molecules with fewer than three polyethylene glycol moieties attached), were used in the animal studies.

In vitro bioactivity. The F5 derivatized material demonstrated activity in vitro as determined by measurement of the inhibition of viral replication in a cultured cell line, but the F1 material did not.

Methods. HeLa cells were plated into 96-well plates at 15,000 cells/well and incubated for twenty four hours at 37°C under 5% carbon dioxide in base medium (Dulbecco's modified Eagles medium (DMEM), containing 100 units/ml of penicillin, 100 mg/ml of streptomycin, 2 mM L-glutamine, 1% by weight of non-essential amino acids, 0.1% by weight of gentamicin sulfate and 1% HEPES buffer), with 10% FBS. IFN-Con1 was prepared at multiple dilutions ranging from 40 to 0.02 ng/ml (40,000 to 19.53 Units) in base medium and 0.2% FBS. One hundred microliters of each standard and appropriately diluted PEG-IFN-Con1 were added to each well. For both the positive (no IFN-Con1) and negative (no virus) controls, 100 µl of base medium alone was added. After further incubation for nineteen to twenty-three hours, the medium was aspirated and replaced with 100 µl of the challenge virus, i.e., Encephalomyocarditis Virus (EMCV), at a dilution equal to 100-1000 tissue culture infected dose (TCID) units in DMEM with 1% FBS. The plates were further incubated for about twenty-two hours, the medium was removed, and the cells were fixed with 200 µl of anhydrous methyl alcohol for five minutes. The fixative was removed and the cells were stained for thirty minutes in 0.5% Gentian dye, then rinsed free of dye and air-dried for one half

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to two hours. The dye was eluted with 200  $\mu$ l of ethylene glycol monomethyl ether and shaken for thirty minutes. The absorbance of each well at 650 nm was determined in a Vmax Kinetic Microplate Reader, model 5 88026 (Molecular Devices). The results for the standard were graphed as the log concentration of IFN-Con<sub>1</sub> versus the percentage of dye uptake. Regression analysis of the linear portion of the curve between 10-83% dye uptake was performed, and the bioactivity of the 10 PEG-IFN-Con<sub>1</sub> was determined. The results are presented in Table 5.

Results. The F1 did not demonstrate measurable in vitro bioactivity. The F5 had at least 24.5% retention of the original in vitro bioactivity as 15 compared to the unmodified IFN-Con<sub>1</sub>, see Table 5. It is of note that although the Fraction 1 (higher pegylation) material demonstrated no detectable activity in this in vitro assay, this may not correlate to in vivo activity.

20 Table 5. Bioactivity of PEG-IFN-Con<sub>1</sub>.

Fraction	Activity Units/mg	% Retention of Activity
IFN-Con <sub>1</sub>	1.42X10 <sup>9</sup>	100 %
PEG-IFN-Con <sub>1</sub> (F5) (Low)	3.48X10 <sup>8</sup>	24.5 %
PEG-IFN-Con <sub>1</sub> (F1) (High)	Not detectable	

**Example 8: Proteolysis of IFN-Con<sub>1</sub>**

25 This example demonstrates that in the absence of chemical modification, consensus interferon is proteolyzed by proteases found in the intestine.

Methods. The proteolysis protocol for IFN-Con<sub>1</sub> was much as described for PEG-G-CSF and G-CSF. 30 Trypsin was present at 0.5  $\mu$ g/ml, chymotrysin at 0.5

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µg/ml and <sup>35</sup>S-labelled IFN-Con<sub>1</sub> was present at 50 µg/ml, all in a total volume of 525 µl of PBS. Incubation was at 37°C. At the appropriate time points which were 0, 15, 30, 60, 120, 240 and 360 minutes, 50 µl of sample

5 was withdrawn and added to an Eppendorf tube at 4°C containing 7 µl of a protease inhibitor cocktail consisting of N-tosyl-L-lysine chloroethyl ketone (TLCK) 2.5 µg; (4-amidinophenyl) methanesulfonyl fluoride (APMSF) 1.6 µg; and α 2-macroglobulin 0.25 IU,

10 all from Boehringer Mannheim, (Indianapolis, IN). The sample was then diluted with 14 µl of 4X reducing buffer (0.5M Tris, 75% glycerol, 1% bromophenol blue, 20% SDS, 2% β-mercaptoethanol), and 500 ng of the protein was run on a 17-27% SDS-PAGE gel from Integrated Separation

15 Systems (ISS) (Natick, MA.). The gel was then transferred onto immobilon (ISS) using a semi-dry electroblotter (ISS). Immunoblotting was performed using as the primary antibody an anti-IFN-Con<sub>1</sub> antibody. The resulting immunoblots were analyzed on a Molecular

20 Dynamics Phosphorimager (Sunnyvale, CA).

Results. The susceptibility of the IFN-Con<sub>1</sub> protein to the intestinal proteases trypsin and chymotrypsin, is presented in Figure 12.

25 The graph illustrates the following data:

Table 6

Data for the Proteolysis of IFN-Con<sub>1</sub> (Figure 12)

30

Time of Incubation (minutes)	% of Protein Remaining	
	Trypsin	Chymotrypsin
0	100	100
15	86.9	100.7
30	80.2	101.2
60	77.8	79.8
120	76	77.8
240	73	57.9
360	44.5	

One can see that the IFN-Con<sub>1</sub> is most susceptible to trypsin and more resistant to chymotrypsin. The protease trypsin is able to digest  
5 >80% of the cytokine within 30 minutes, which is similar to that seen for the digestion of G-CSF (Figure 2). Similar levels of digestion with chymotrypsin are only seen after 2 hours of incubation. A regression analysis of the data (not shown), shows that under the conditions  
10 used in this in vitro proteolysis assay, IFN-Con<sub>1</sub> has a T<sub>1/2</sub> for its digestion of 5.9 hours in the presence of trypsin, 7.25 hours with chymotrypsin and 5.1 hours with both trypsin and chymotrypsin present together.

15                   **Example 9: Intraduodenal Administration of PEG-IFN-Con<sub>1</sub>**

This example demonstrates the intraduodenal administration of both the pegylated IFN-Con<sub>1</sub> and the unmodified material. Both intravenous and intraduodenal  
20 administration were performed, and serum samples were analyzed for the presence of IFN-Con<sub>1</sub> using an antibody assay. As can be seen in the results, consensus interferon was present in the bloodstream after intraduodenal administration. Unexpectedly, the more  
25 highly pegylated the protein, the higher the serum level of the IFN-Con<sub>1</sub>.

Methods. Methods used are similar to those used above for PEG-GCSF. Alzet pumps (24 hour infusion), were used as before to administer to male Sprague-Dawley  
30 rats (mean body weight 350 +/- 6.7 g). Both intravenous and intraduodenal comparisons were made for the

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determination of bioavailability. Material was formulated in PBS. The dosing regimen was:

Intravenous

5	<u>Formulation</u>	<u>Degree of</u> <u>pegylation</u>	<u>Dose</u>
	IFN-Con <sub>1</sub>	None	30 µg/kg
	PEG-IFN-Con <sub>1</sub> (F5)	Low	30 µg/kg
	PEG-IFN-Con <sub>1</sub> (F1)	High	30 µg/kg

10

Intraduodenal

	<u>Formulation</u>	<u>Degree of</u> <u>pegylation</u>	<u>Dose</u>
	IFN-Con <sub>1</sub>	None	680 µg/kg
15	PEG-IFN-Con <sub>1</sub> (F5)	Low	680 µg/kg
	PEG-IFN-Con <sub>1</sub> (F1)	High	680 µg/kg

Methods for Antibody Assay: For testing, blood samples were drawn from the rats (250 µl) and serum was prepared. Ninety-six well plates were coated with 100 µl per well of a 1:1000 diluted rabbit-derived polyclonal antibody to IFN-Con<sub>1</sub> (Amgen Inc., Thousand Oaks, CA) in 15 mM of sodium carbonate and 35 mM of sodium bicarbonate, pH 9.2. Coating was effected by incubation with the antibody at room temperature for two hours followed by incubation overnight at 4°C. After decantation, 300 µl of a blocking solution, composed of PBS containing 5% bovine serum albumin (BSA) and 0.1% of NaN<sub>3</sub>, was incubated in the wells at room temperature for one hour. Fifty microliters of a TNE buffer, composed of 50 mM Trizma base, pH 7.4, containing 150 mM of NaCl, 13 mM of EDTA and 0.25 mM of thimerosal, with 0.1% Tween 20, was added to the wells together with 50 µl of standard or diluted sample. Standard curves were established in the assay using either unmodified

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IFN-Con<sub>1</sub> or PEG-IFN-Con<sub>1</sub>, depending on what was administered to the test rat. The EIA plates were then incubated for two hours at room temperature and for an additional two hours at 37°C. After decantation, the plates were washed twice with a standard washing solution (Kirkegaard & Perry Laboratories, Gaithersburg, MD, Cat. No. 50-63-00). A mouse monoclonal antibody to IFN-Con<sub>1</sub> (Amgen Inc., Thousand Oaks, CA), diluted 1:4000 in TNE buffer with 10% FBS, was added and the sample was incubated overnight at room temperature. After decantation, the EIA plate was washed twice and a goat-derived anti-mouse IgG antibody, conjugated with horse radish peroxidase (HRPO), (Boehringer Mannheim, Indianapolis, IN), was added at a dilution of 1:2000. After incubation for two hours at room temperature, the plates were decanted and washed four times. One hundred microliters of TMB peroxidase substrate solution (Kirkegaard & Perry Laboratories, Cat. No. 50-76-00) were then added and the sample was incubated for five minutes at room temperature. The reaction was terminated by the addition of 50 µl of 1 M H<sub>3</sub>PO<sub>4</sub>, and the absorbance was measured at 450 nm.

Results: This Example demonstrates that chemically modified consensus interferon passes through the intestine to the blood stream. Comparisons were made between both the intravenously and intraduodenally infused IFN-Con<sub>1</sub> and PEG-IFN-Con<sub>1</sub>. The serum levels of the therapeutic protein are presented in Figures 13, 14 and 15.

Intravenous administration. The intravenous administration data demonstrate that pegylation causes IFN-Con<sub>1</sub> to accumulate in the serum. Steady state levels of PEG-IFN-Con<sub>1</sub> are achieved at ~30-35 ng/ml for both the F5 (low) and F1 (high) materials, see Figures 14 and 15 respectively. Unmodified IFN-Con<sub>1</sub> however, reaches steady state serum levels at much lower amounts, 3-5



ng/ml (Figure 13), even though similar doses of the proteins were infused intravenously. The data are presented below:

5

Table 7

Data for the Infusion of IFN-Con<sub>1</sub> (Figure 13)

Time (hours)	Plasma Levels (pg/ml)	
	Intravenous	Intraduodenal
0	0	0
6	3264 ± 332	378 ± 31
9	3603 ± 335	162 ± 10
20	3088 ± 246	125 ± 5
24	500 ± 125	121 ± 13
28	144 ± 189	160 ± 18
48	109	153 ± 11
52	148	137 ± 15
72	161	
96		

10

Table 8

Data for the Infusion of PEG-IFN-Con<sub>1</sub> (F5) (Figure 14)

Time (hours)	Plasma Levels (pg/ml)	
	Intravenous	Intraduodenal
0	0	0
6	27242 ± 916	919 ± 147
9	33239 ± 861	823 ± 175
20	38519 ± 837	336 ± 78
24	35064 ± 3268	301 ± 74
28	20565 ± 1128	292 ± 78
48	25110 ± 1344	296 ± 82
52	10182 ± 1156	299 ± 86
72	4240 ± 749	
96		

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Table 9Data for the Infusion of PEG-IFN-Con<sub>1</sub> (F1) (Figure 15)

Time (hours)	Plasma Levels (pg/ml)	
	Intravenous	Intraduodenal
0		
6	23917 ± 681	4964 ± 791
9	30829 ± 315	4689 ± 785
20	31389 ± 489	2611 ± 743
24	28104 ± 3376	2243 ± 536
28	21917 ± 495	1280 ± 312
48	22254 ± 583	1228 ± 331
52	20477 ± 565	722 ± 227
72	12332 ± 347	
96		

5

A very rough determination of the clearance of the 3 proteins can be made after the pumps have finished delivering, starting at the 24 hour time point and going out to 96 hours. By simple regression analysis a T<sub>1/2</sub> can be determined, and these values are summarized in Table 10.

10

Table 10T<sub>1/2</sub> of IFN-Con<sub>1</sub> and PEG-IFN-Con<sub>1</sub>.

15

Formulation	Mean T <sub>1/2</sub> ± SEM
IFN-Con <sub>1</sub>	1.52 ± 0.27
PEG-IFN-Con <sub>1</sub> (F5) (Low)	23.09 ± 2.39
PEG-IFN-Con <sub>1</sub> (F1) (High)	64.83 ± 6.89

20

The difference in clearance of the PEG-IFN-Con<sub>1</sub> as compared to the unmodified IFN-Con<sub>1</sub> is extremely great, especially when compared to G-CSF and PEG-G-CSF. Even with highly pegylated G-CSF at high doses, the T<sub>1/2</sub> for unmodified protein is 0.95 hours compared to 2.3 hours for the PEG-G-CSF.

Intraduodenal administration. Serum levels of the cytokine after intraduodenal administration are also

-57-

presented in Figures 13-15. Unexpectedly, the more highly pegylated the protein, the higher the serum level of the IFN-Con<sub>1</sub>. The more highly pegylated cytokine (F1) (Figure 15) had a higher serum level after

5 intraduodenal administration than the material with fewer PEG moieties, as well as the unmodified material. This correlation is surprising given the large molecular weight of the highly derivatized IFN-Con<sub>1</sub> (tri-, tetra-, pentapegylated), as compared to the form with fewer PEG

10 moieties (F5, mono-, dipegylated). While the reason is not clearly understood, this may reflect the greatly increased circulation time of the pegylated protein (Table 10). Additionally or alternatively, pegylation may affect the protein's ability to cross the enteral

15 barrier. In intraduodenal administration, the material with the lower pegylation was 2.4-fold more concentrated in serum than unmodified protein, but the more highly pegylated material was 13-fold more concentrated (than unmodified protein). For the most heavily pegylated

20 IFN-Con<sub>1</sub>, elevated and measurable serum levels of the protein were detectable out to 72 hours.

Rats receiving the unmodified IFN-Con<sub>1</sub> had elevated levels of the protein at 6 hours but these fell rapidly to ~150 pg/ml. (This may represent the lower

25 limit of detection since serum levels remained at a plateau of 150 pg/ml out to 96 hours.)

Bioavailability. The more highly pegylated material demonstrated a higher bioavailability than the material with fewer PEG moieties. Bioavailability was

30 calculated by comparing the serum levels after intravenous administration to those after intraduodenal administration (Figures 13-15). As can be seen, the serum levels after intravenous infusion have not completely returned to baseline after 96 hours for the

35 pegylated IFN-Con<sub>1</sub>. However, values for the bioavailability as determined from the area under the

-58-

curve (AUC) were determined and are summarized in Table 11 below.

Table 11

AUC and Bioavailability of Non-Pegylated  
and Pegylated IFN-Con<sub>1</sub>.

5

Protein	Mean AUC $\pm$ SEM	Mean AUC $\pm$ SEM	% Bioavail
	Intravenous	Intraduodenal	
IFN-Con <sub>1</sub>	$8.15 \times 10^4 \pm 8.33 \times 10^4$	$1.09 \times 10^4 \pm 5.55 \times 10^2$	0.65
PEG-IFN-Con <sub>1</sub> (F5)	$1.80 \times 10^6 \pm 2.96 \times 10^4$	$3.00 \times 10^4 \pm 5.70 \times 10^3$	0.082
PEG-IFN-Con <sub>1</sub> (F1)	$1.71 \times 10^6 \pm 5.42 \times 10^4$	$1.54 \times 10^5 \pm 3.09 \times 10^4$	0.441

Although <1% of the intraduodenal administered (as compared to intravenous) PEG-IFN-CON<sub>1</sub> was found in the blood stream, these data demonstrate that the highly pegylated form (F1) actually has a 5-fold greater bioavailability than the derivatized form (F5) with fewer polyethylene glycol moieties per protein molecule.

Another way to look at the data is to directly compare the pegylated form of the protein infused intraduodenally, with the unmodified protein infused intravenously. This comparison provides a measure of the overall effect of the pegylation of the protein, on the uptake from the enteral route. The results are summarized in Table 12, which also reiterates some of the PEG G-CSF data:

Table 12  
Effect of Pegylation on the Enteral  
Bioavailability of Cytokine.

Dose Site	Protein	Dose (µg/kg)	AUC	Bio-availability (%)
IV	IFN-Con <sub>1</sub>	30	81500 ± 8330	100 %
ID	IFN-Con <sub>1</sub>	680	10900 ± 555	0.65 %
ID	PEG-IFN-Con <sub>1</sub> (Low)	680	30000 ± 5700	1.6 %
ID	PEG-IFN-Con <sub>1</sub> (High)	680	154000 ± 30900	8.3 %
IV	G-CSF	25	200,000	100 %
ID	G-CSF	755	0	0 %
ID	PEG-G-CSF	823	630,000	9.45 %

5

The PEG-G-CSF used above was a population of molecules wherein a majority contained at least three polyethylene glycol molecules attached thereto (see infra). In this way, the level of derivitization was similar to the more highly derivatized PEG-IFN-Con<sub>1</sub> (F1). The results in Table 12 show that these two derivatized proteins have similar bioavailability from the enteral route when they are compared to the unmodified protein infused intravenously. Therefore, a preferable form of a pegylated cytokine for enteral and therefore oral delivery, is a highly pegylated derivative.

In general, for both pegylated G-CSF and pegylated IFN-Con<sub>1</sub>, a much greater enteral bioavailability is demonstrated as compared to the enterally infused non-pegylated cytokine. Although the precise reason is not thoroughly understood, the increase in bioavailability could be due to the protease resistance of the pegylated form, the longer circulation time of the derivatized protein allowing it to

-60-

accumulate in the body, an effect on the permeation of the protein across the enteral barrier, or a combination of these factors.

5

\*

\*

\*

While the present invention has been described in terms of preferred embodiments, it is understood that variations and modifications will occur to those skilled in the art. Therefore, it is intended that the appended claims cover all such equivalent variations which come within the scope of the invention as claimed.

10

- 61 -

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: Amgen Inc.
- (ii) TITLE OF INVENTION: Oral Delivery of Chemically Modified Proteins
- (iii) NUMBER OF SEQUENCES: 2
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: Amgen Inc.
  - (B) STREET: 1840 Dehavilland Drive
  - (C) CITY: Thousand Oaks
  - (D) STATE: California
  - (E) COUNTRY: USA
  - (F) ZIP: 91320-1789
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER: US 08/194,187
  - (B) FILING DATE: 02-FEB-1994
  - (C) CLASSIFICATION:
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Pessin, Karol M.
  - (C) REFERENCE/DOCKET NUMBER: A-285

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 531 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: cDNA

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

ATGACTCCAT TAGGTCCTGC TAGCTCTCTG CCGCAAAGCT TTCTGCTGAA ATGTCTGGAA	60
CAGGTTTCGTA AAATCCAGGG TGACGGTGCT GCACTGCAAG AAAAAGTGTG CGCTACTTAC	120
AAACTGTGCC ATCCGGAAGA GCTGGTACTG CTGGGTCATT CTCTTGGGAT CCCGTGGGCT	180
CCGCTGTCTT CTTGTCCATC TCAAGCTCTT CAGCTGGCTG GTTGTCTGTC TCAACTGCAT	240

- 62 -

TCTGGTCTGT TCCTGTATCA GGGTCTTCTG CAAGCTCTGG AAGGTATCTC TCCGGAAGTG 300  
 GGTCCGACTC TGGACACTCT GCAGCTAGAT GTAGCTGACT TTGCTACTAC TATTTGGCAA 360  
 CAGATGGAAG AGCTCGGTAT GGCACCAGCT CTGCAACCGA CTCAAGGTGC TATGCCGGCA 420  
 TTCGCTTCTG CATTCCAGCG TCGTGCAGGA GGTGTACTGG TTGCTTCTCA TCTGCAATCT 480  
 TTCCTGGAAG TATCTTACCG TGTCTGCGT CATCTGGCTC AGCCGTAATA G 531

## (2) INFORMATION FOR SEQ ID NO:2:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 175 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: protein

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Met Thr Pro Leu Gly Pro Ala Ser Ser Leu Pro Gln Ser Phe Leu Leu  
 1 5 10 15  
 Lys Cys Leu Glu Gln Val Arg Lys Ile Gln Gly Asp Gly Ala Ala Leu  
 20 25 30  
 Gln Glu Lys Leu Cys Ala Thr Tyr Lys Leu Cys His Pro Glu Glu Leu  
 35 40 45  
 Val Leu Leu Gly His Ser Leu Gly Ile Pro Trp Ala Pro Leu Ser Ser  
 50 55 60  
 Cys Pro Ser Gln Ala Leu Gln Leu Ala Gly Cys Leu Ser Gln Leu His  
 65 70 75 80  
 Ser Gly Leu Phe Leu Tyr Gln Gly Leu Leu Gln Ala Leu Gln Gly Ile  
 85 90 95  
 Ser Pro Glu Leu Gly Pro Thr Leu Asp Thr Leu Gln Leu Asp Val Ala  
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 Asp Phe Ala Thr Thr Ile Trp Gln Gln Met Glu Glu Leu Gly Met Ala  
 115 120 125  
 Pro Ala Leu Gln Pro Thr Gln Gly Ala Met Pro Ala Phe Ala Ser Ala  
 130 135 140  
 Phe Gln Arg Arg Ala Gly Gly Val Leu Val Ala Ser His Leu Gln Ser  
 145 150 155 160  
 Phe Leu Glu Val Ser Tyr Arg Val Leu Arg His Leu Ala Gln Pro  
 165 170 175



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## CLAIMS:

1. An oral dosage formulation of chemically modified G-CSF, wherein said active ingredient is comprised of a population of G-CSF molecules, the  
5 majority of members of said population being those to which three or more pharmaceutically acceptable polymer molecules are attached, said polymer molecules (i) providing resistance against proteolysis of said G-CSF, and (ii) allowing uptake of said G-CSF into the blood  
10 stream from the intestine.
2. A composition of claim 1 wherein said pharmaceutically acceptable polymer molecule is polyethylene glycol.  
15
3. A composition of claim 1 wherein said oral dosage formulation permits delivery of said active ingredient to the small intestine.
- 20 4. A process for preparing an oral dosage formulation of claim 1 comprised of:
  - (a) chemically modifying a population of G-CSF molecules so that a majority of members of said population are those to which three or more  
25 pharmaceutically acceptable polymer molecules are attached, said polymer molecules (i) providing resistance against proteolysis of said G-CSF; and, (ii) allowing uptake of said G-CSF into the blood stream from the intestine; and,
  - 30 (b) formulating such chemically modified G-CSF with a pharmaceutically acceptable carrier for oral administration.
5. A process of claim 5 wherein said  
35 pharmaceutically acceptable polymer molecule is polyethylene glycol.

6. A process of claim 5 wherein said pharmaceutically acceptable carrier permits delivery of said G-CSF to the small intestine.

5

7. An oral dosage formulation of chemically modified consensus interferon, wherein said active ingredient is comprised of a population of consensus interferon molecules, the majority of members of said population being those to which one or more pharmaceutically acceptable polymer molecules are attached, said polymer molecules (i) providing resistance against proteolysis of said consensus intereferon, and(ii) allowing uptake of said consensus  
10  
15  
interferon into the blood stream from the intestine.

8. A composition of claim 7 wherein said pharmaceutically acceptable polymer molecule is polyethylene glycol.

20

9. A composition of claim 7 wherein said oral dosage formulation permits delivery of said active ingredient to the small intestine.

25

10. A process for preparing an oral dosage formulation of claim 7, said process comprised of:

(a) chemically modifying a population of consensus interferon molecules so that a majority of members of said population are those to which one or  
30  
more pharmaceutically acceptable polymer molecules are attached, said polymer molecules (i) providing resistance against proteolysis of said consensus interferon; and, (ii) allowing uptake of said consensus interferon into the blood stream from the intestine;  
35  
and,

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(b) formulating such chemically modified consensus interferon with a pharmaceutically acceptable carrier for oral administration.

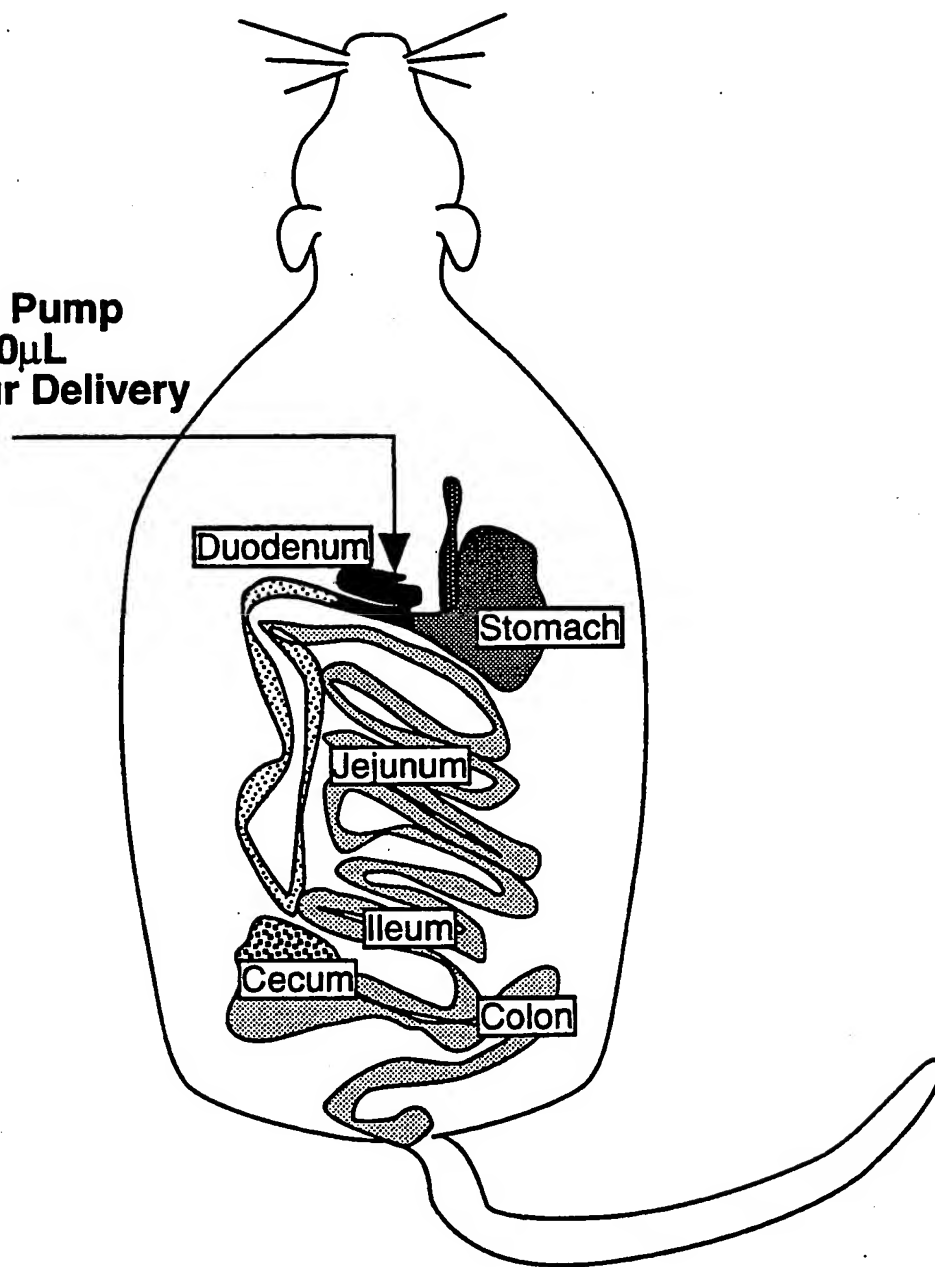
5                   11. A process of claim 10 wherein said pharmaceutically acceptable polymer molecule is polyethylene glycol.

10                   12. A process of claim 10 wherein said pharmaceutically acceptable carrier permits delivery of said consensus interferon to the small intestine.

1 / 16

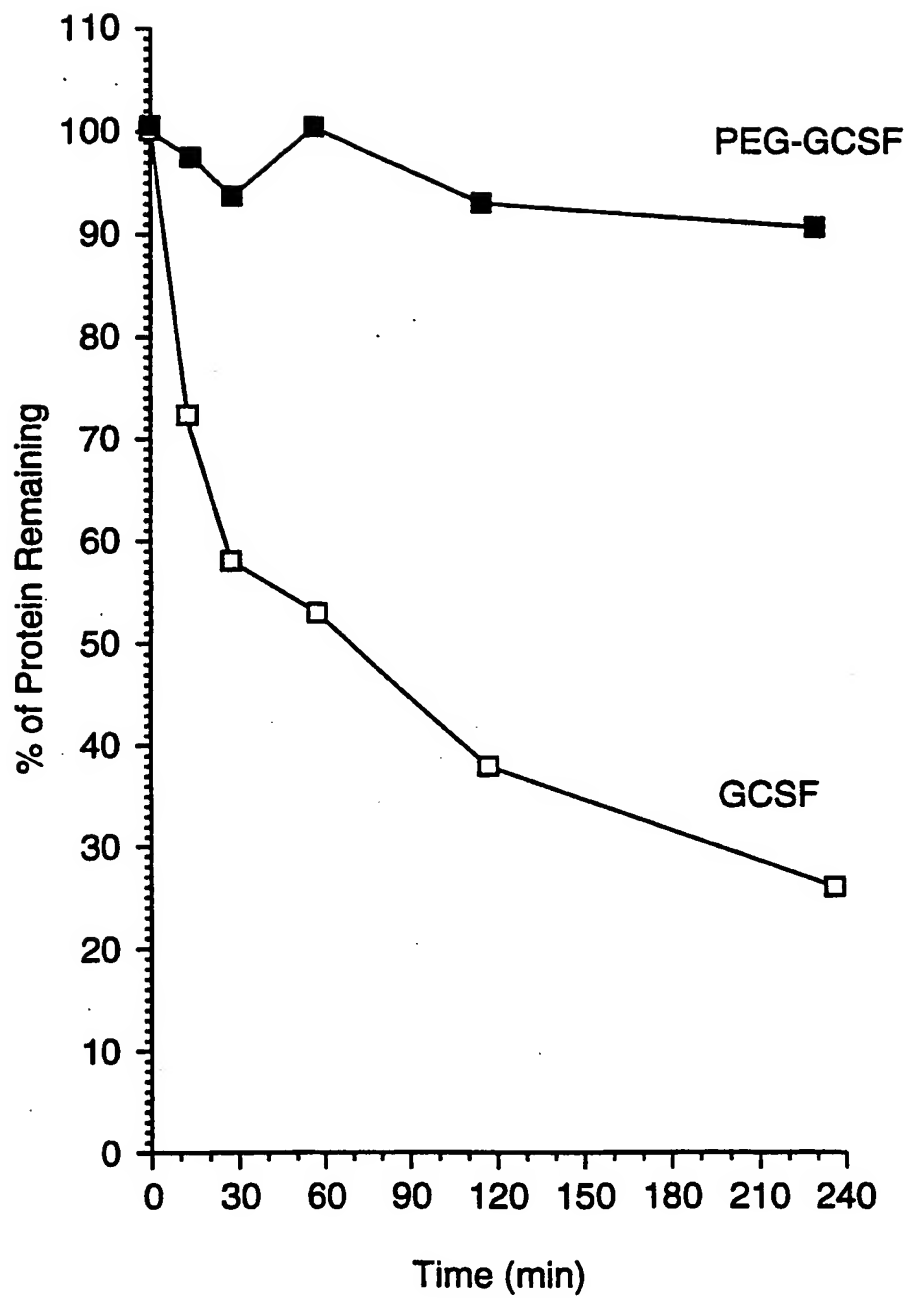
Fig. 1

ALZET Pump  
Vol: 200 $\mu$ L  
24 Hour Delivery



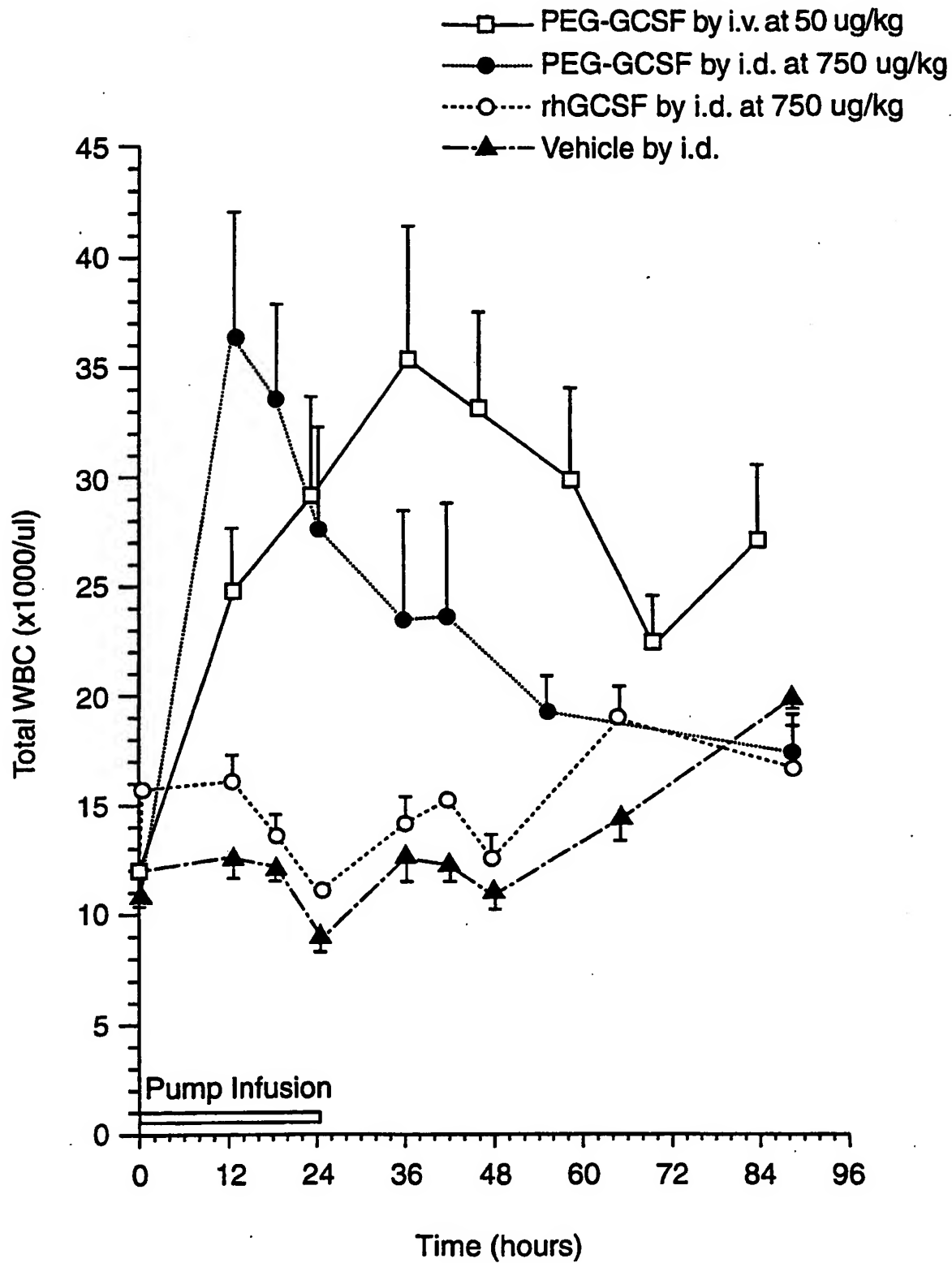
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Fig. 2



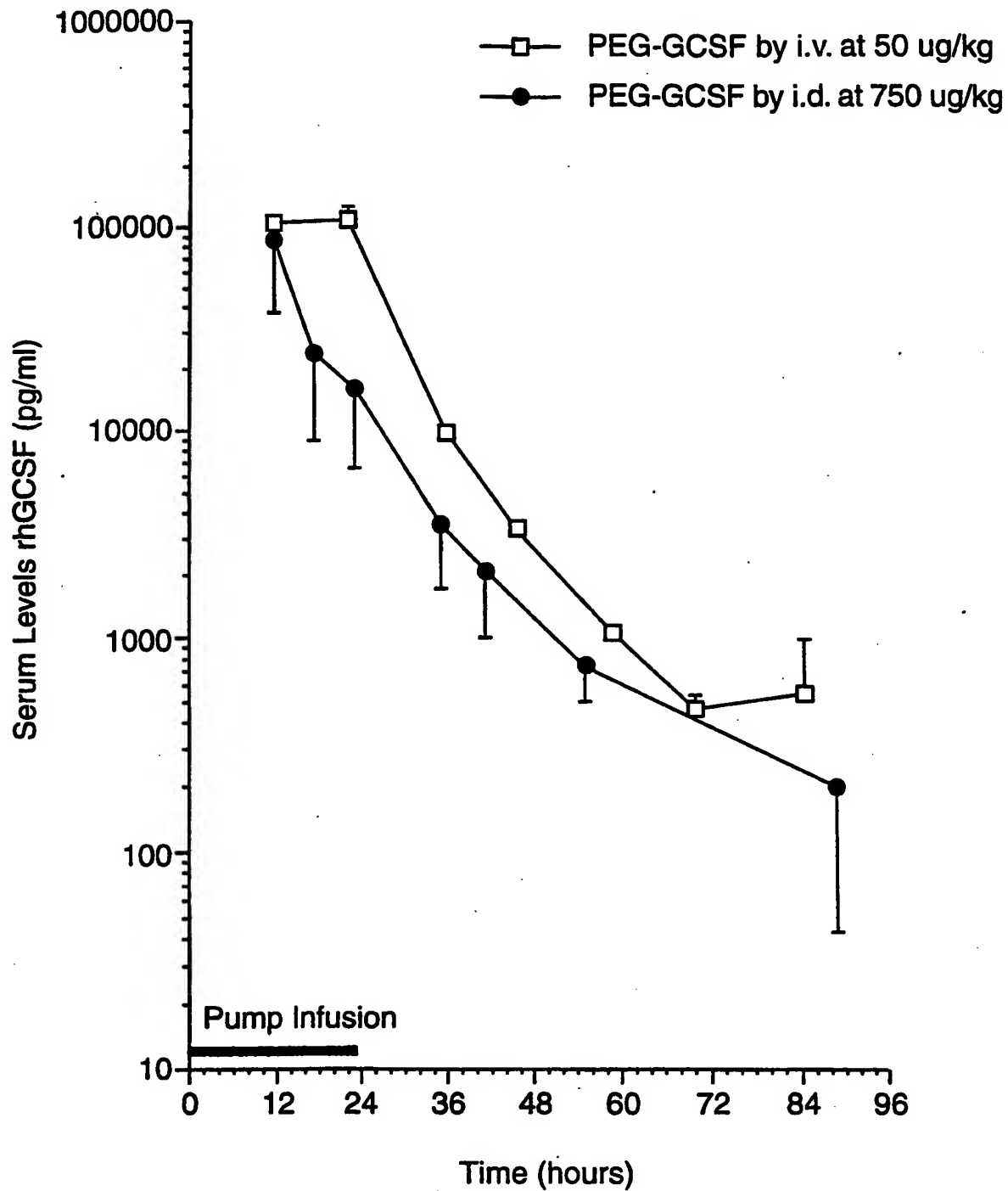
3 / 16

Fig. 3



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Fig. 4



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Fig. 5

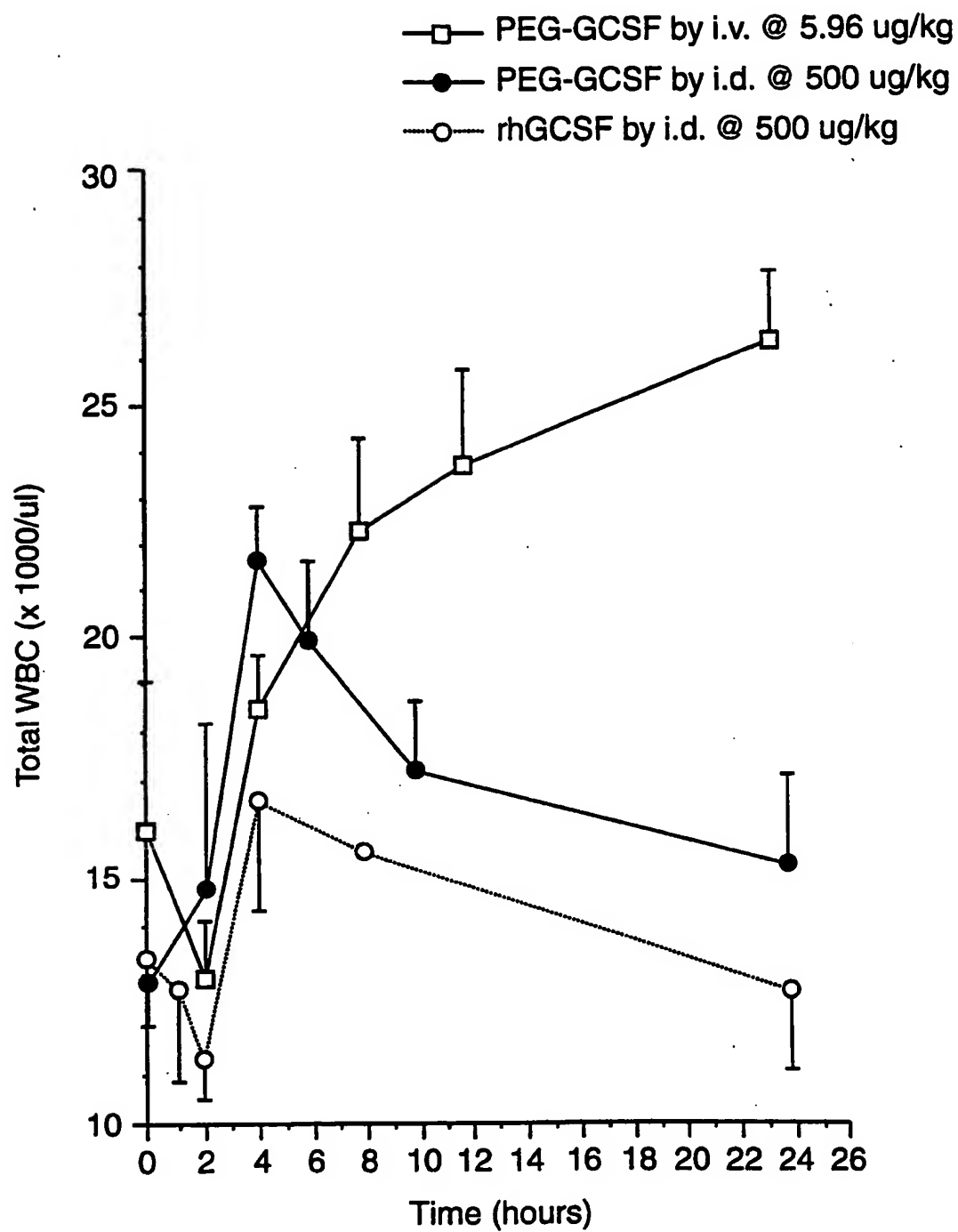
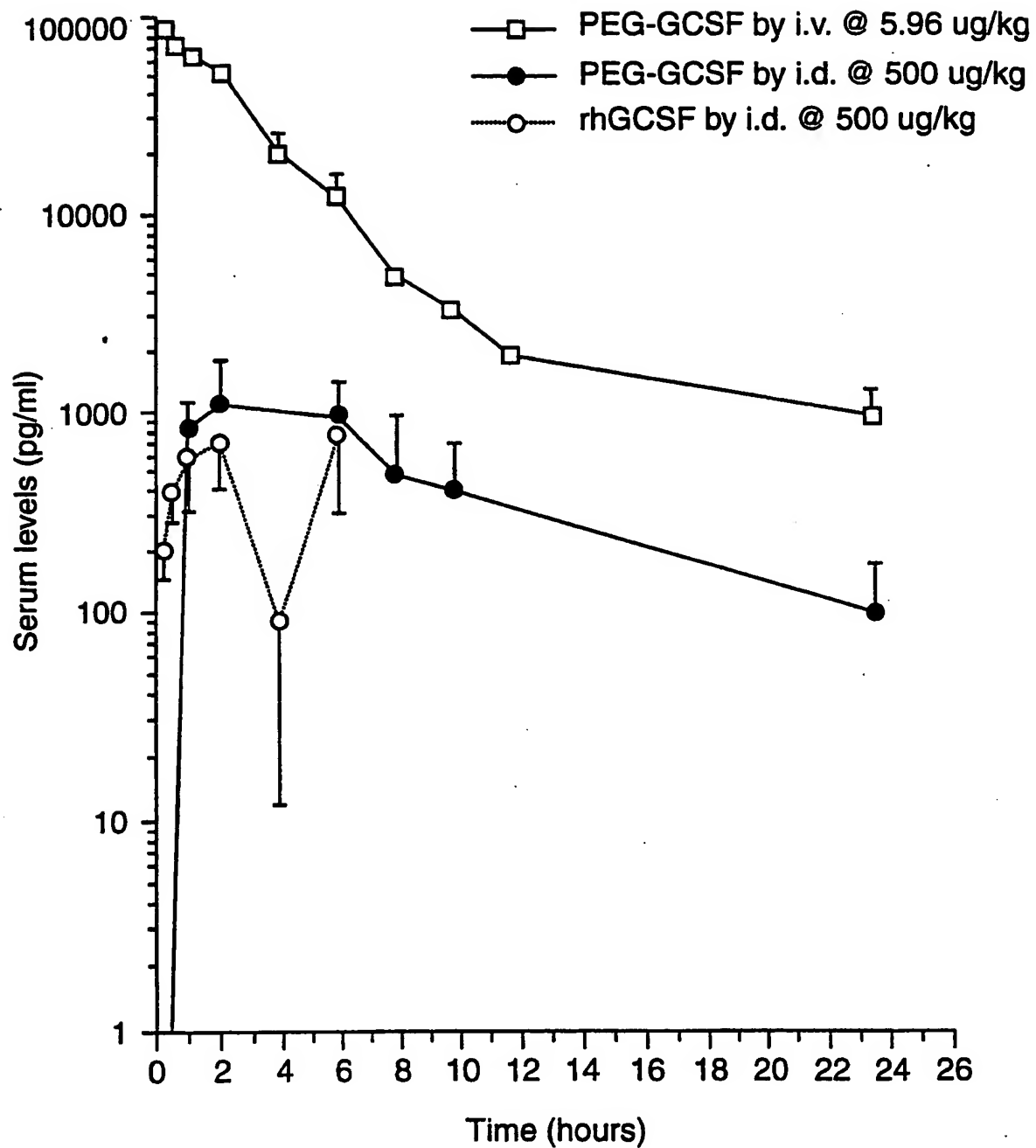




Fig. 6



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Fig. 7a

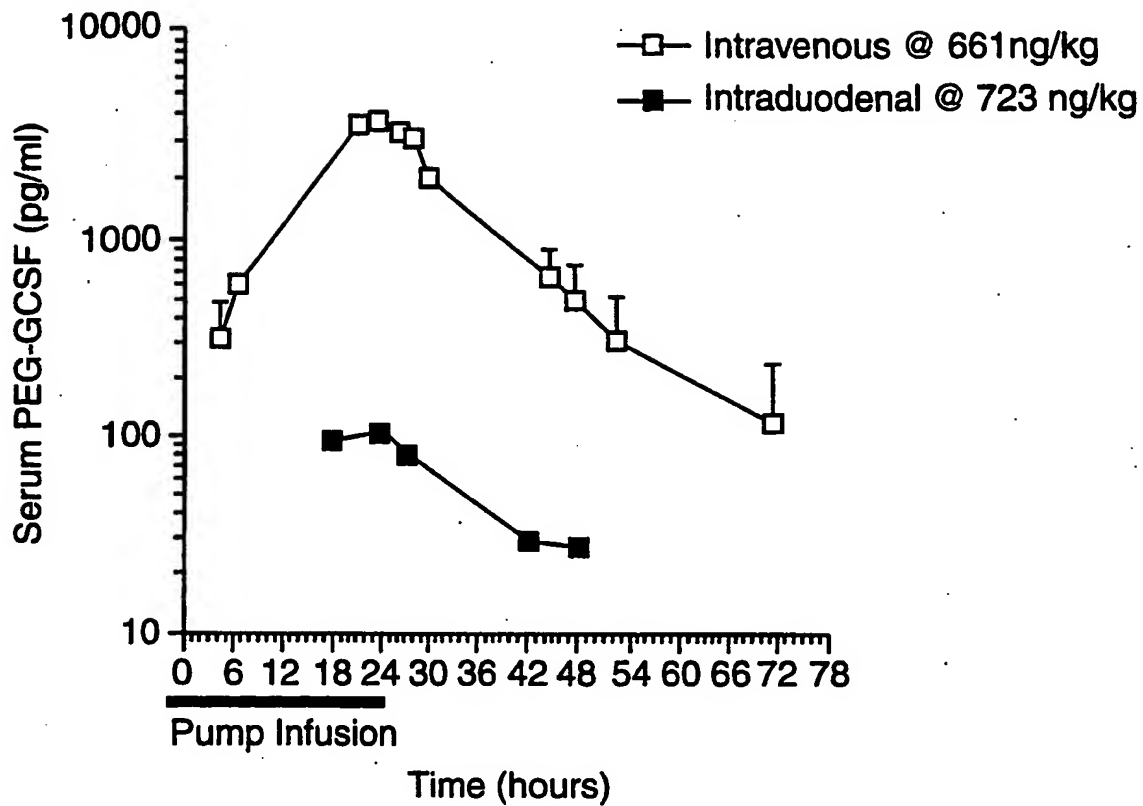
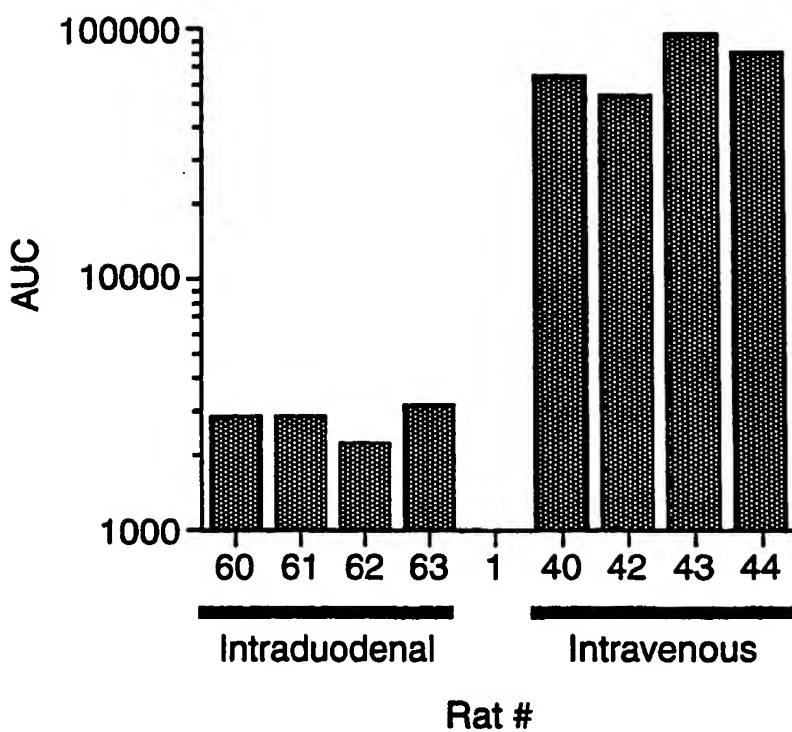
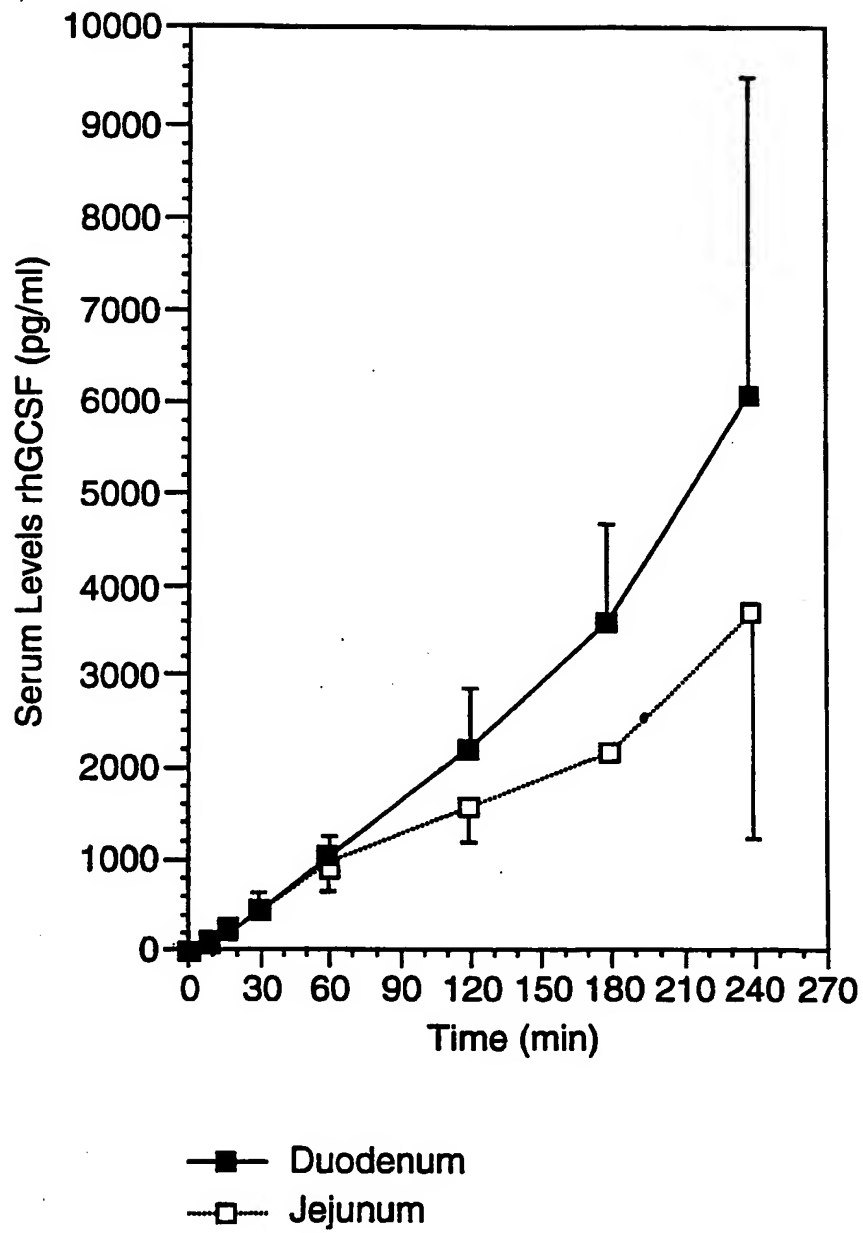


Fig. 7b

Mean AUC (id) =  $2732 \pm 192$ Mean AUC (iv) =  $71,986 \pm 8,769$ 

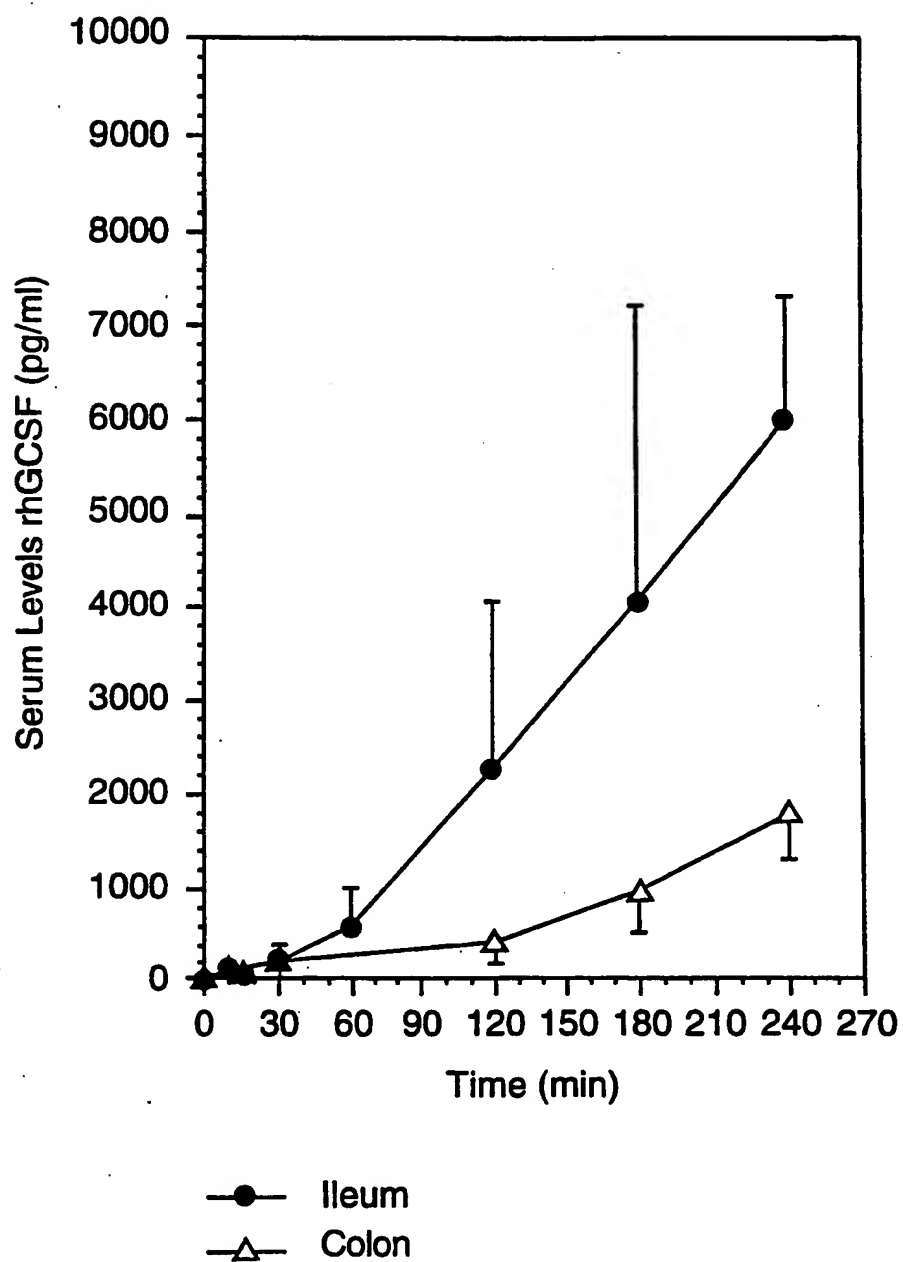
SUBSTITUTE SHEET (RULE 26)

Fig. 8a



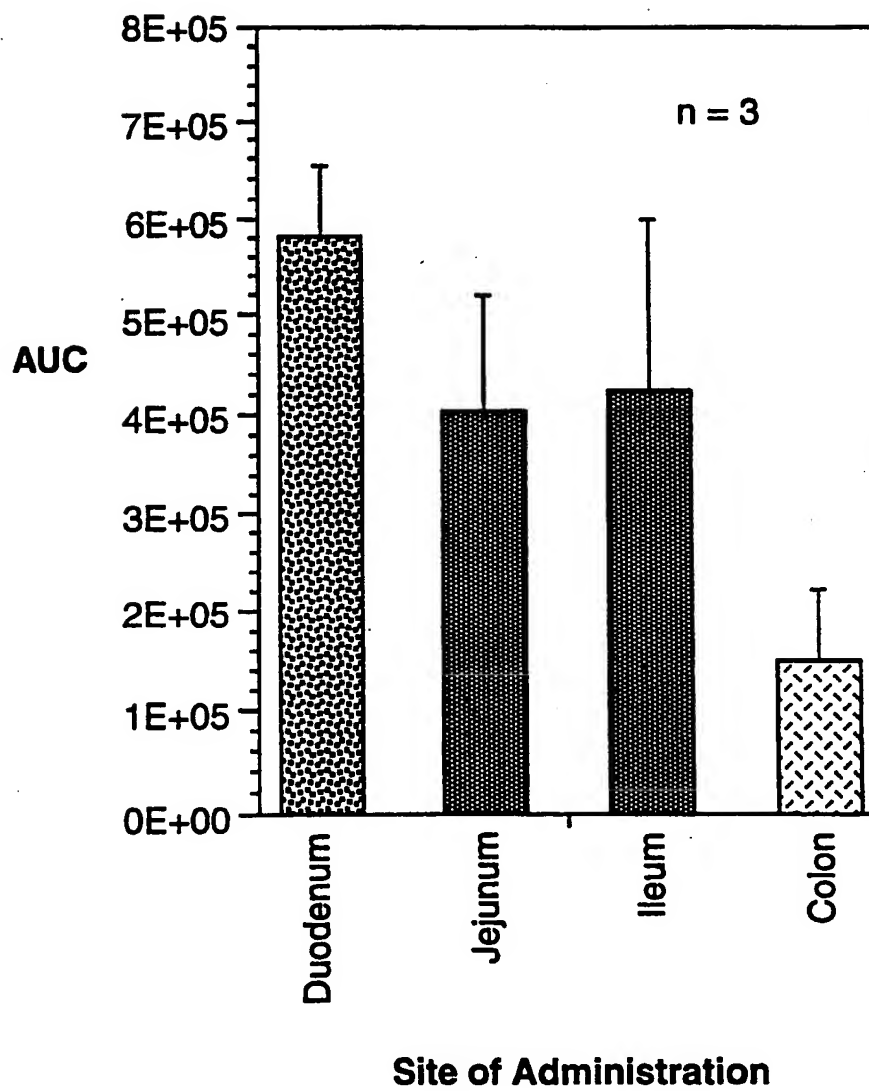
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Fig. 8b



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Fig. 9



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Fig. 10a

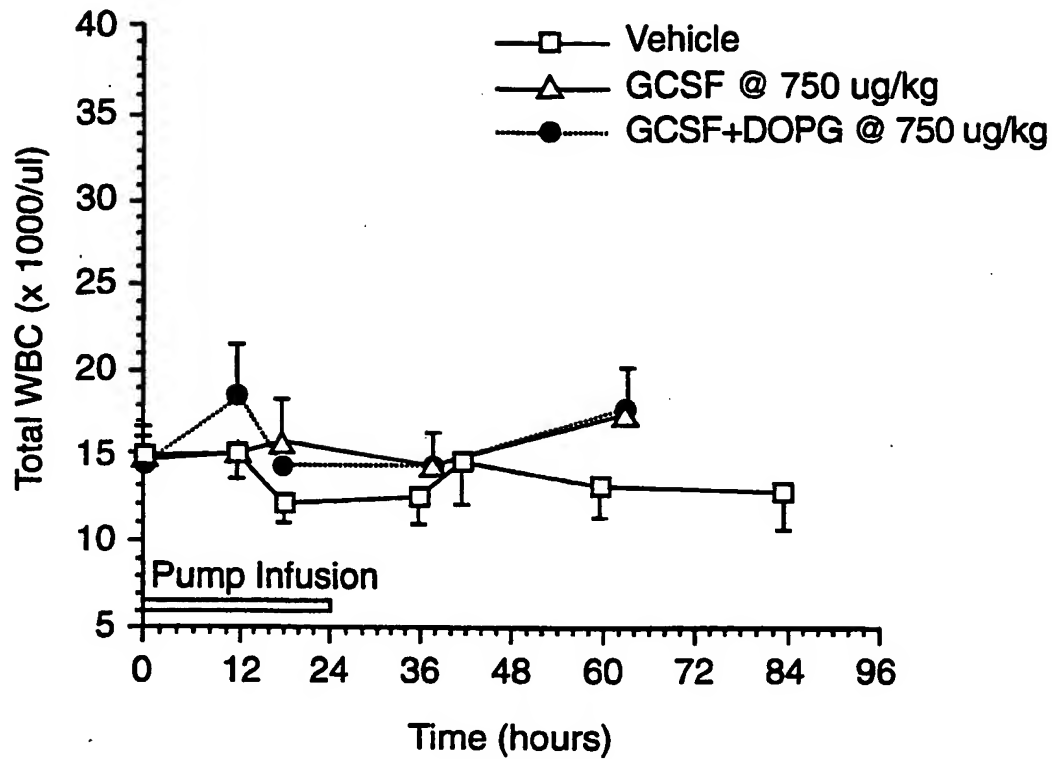
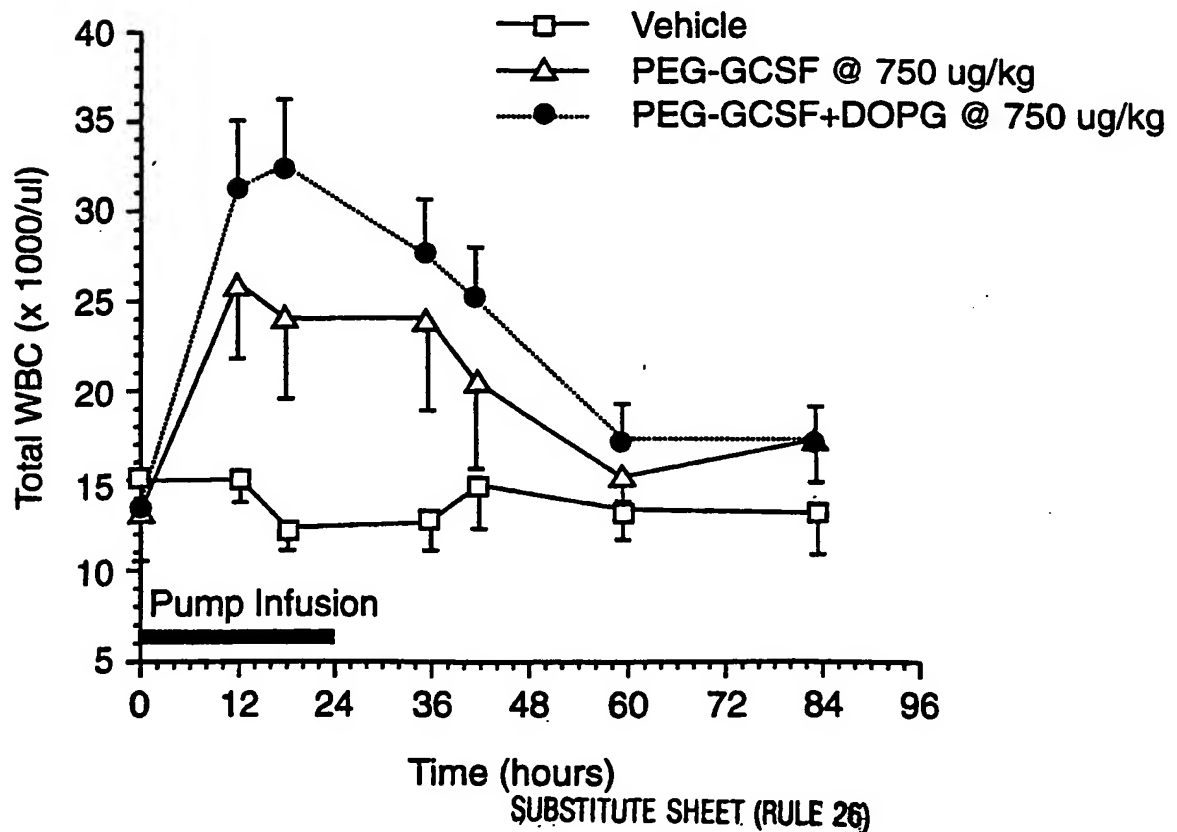
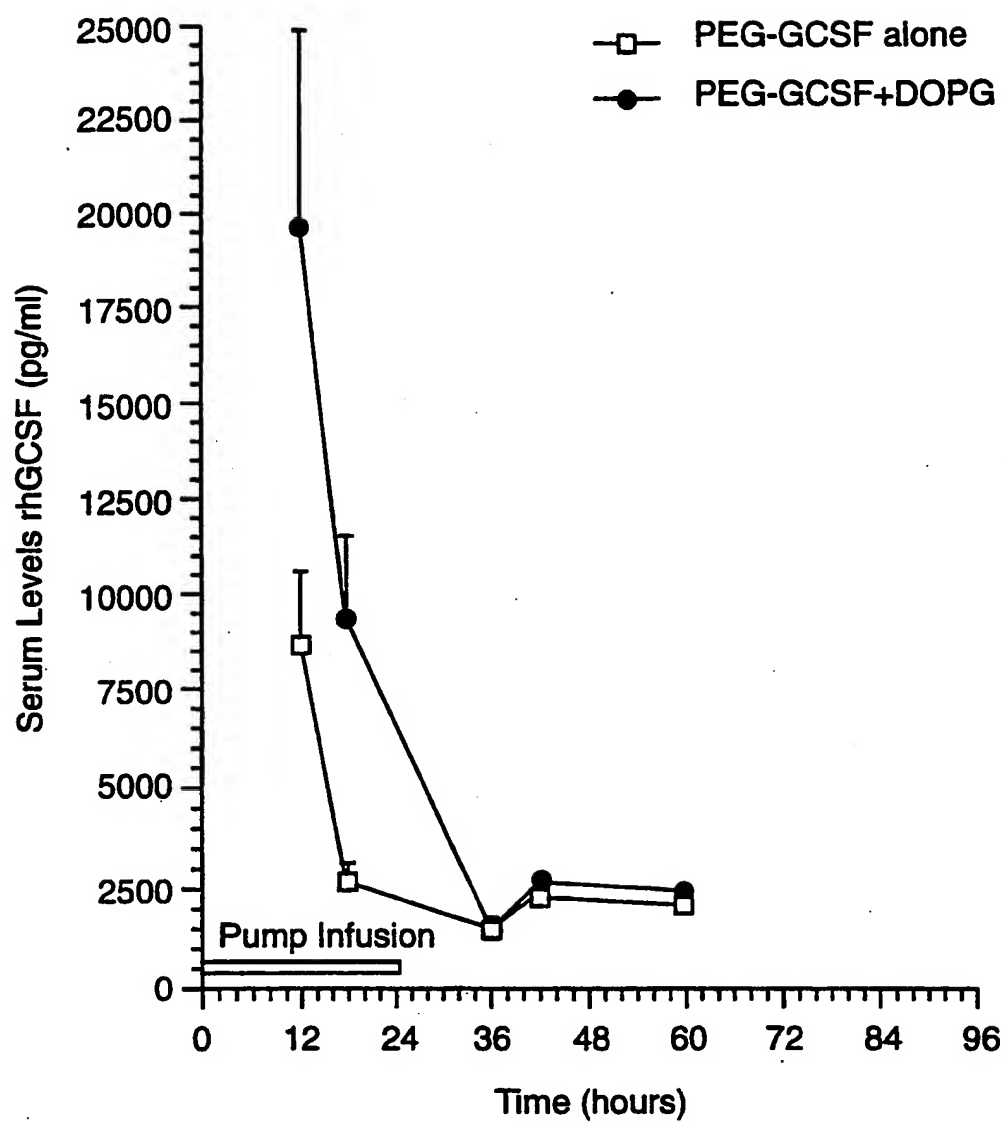


Fig. 10b



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Fig. 11



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Fig. 12

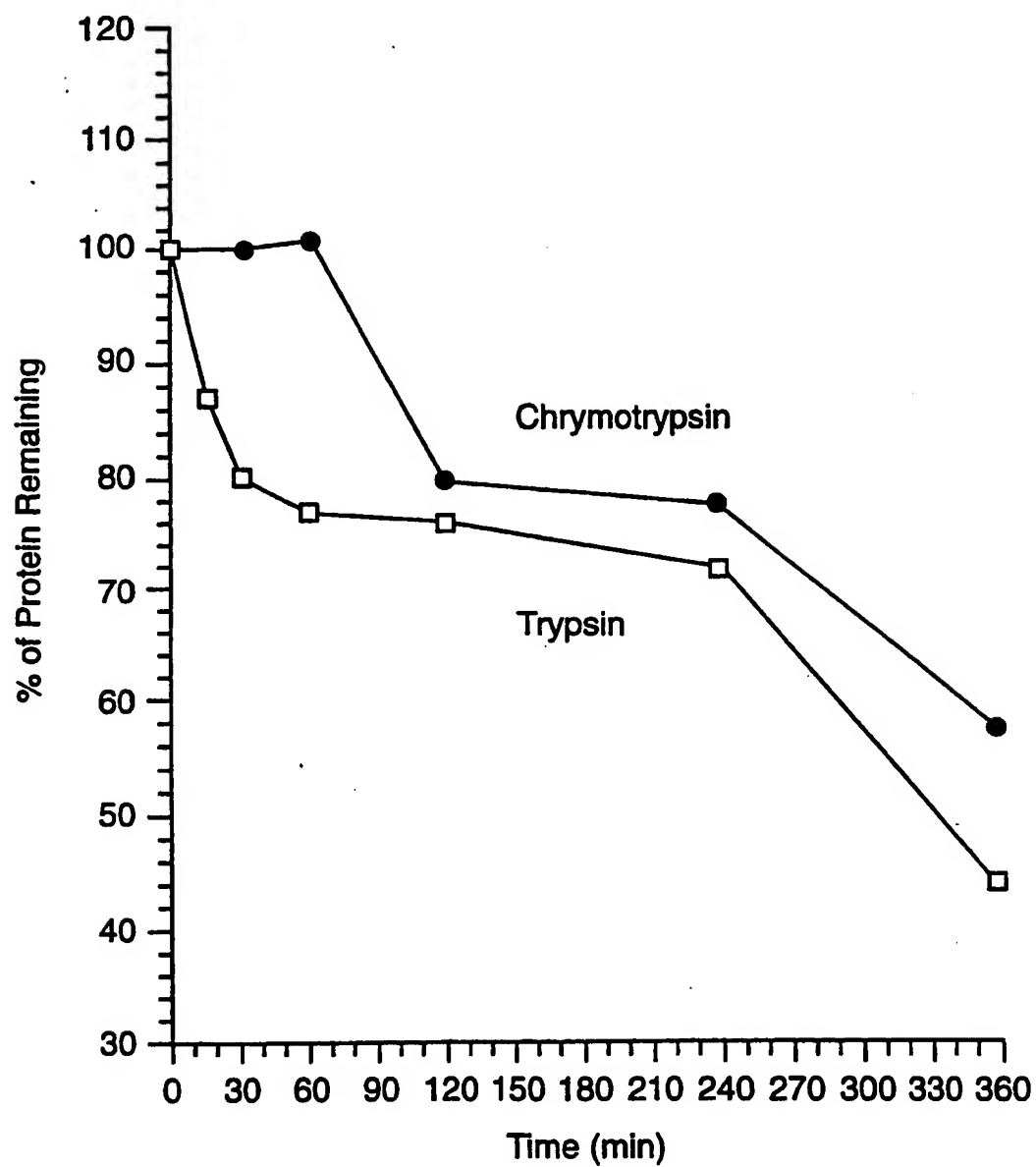
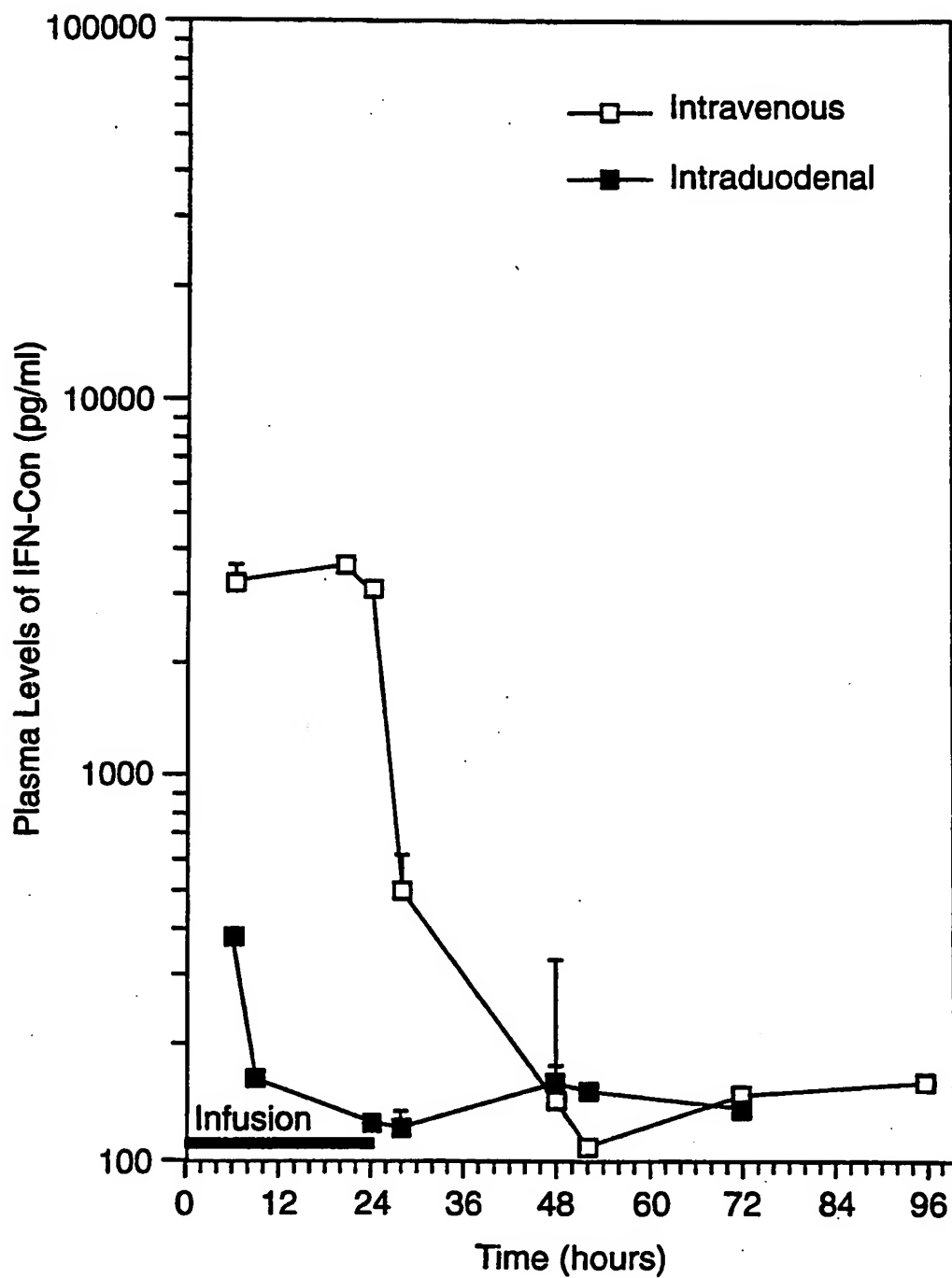




Fig. 13



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Fig. 14

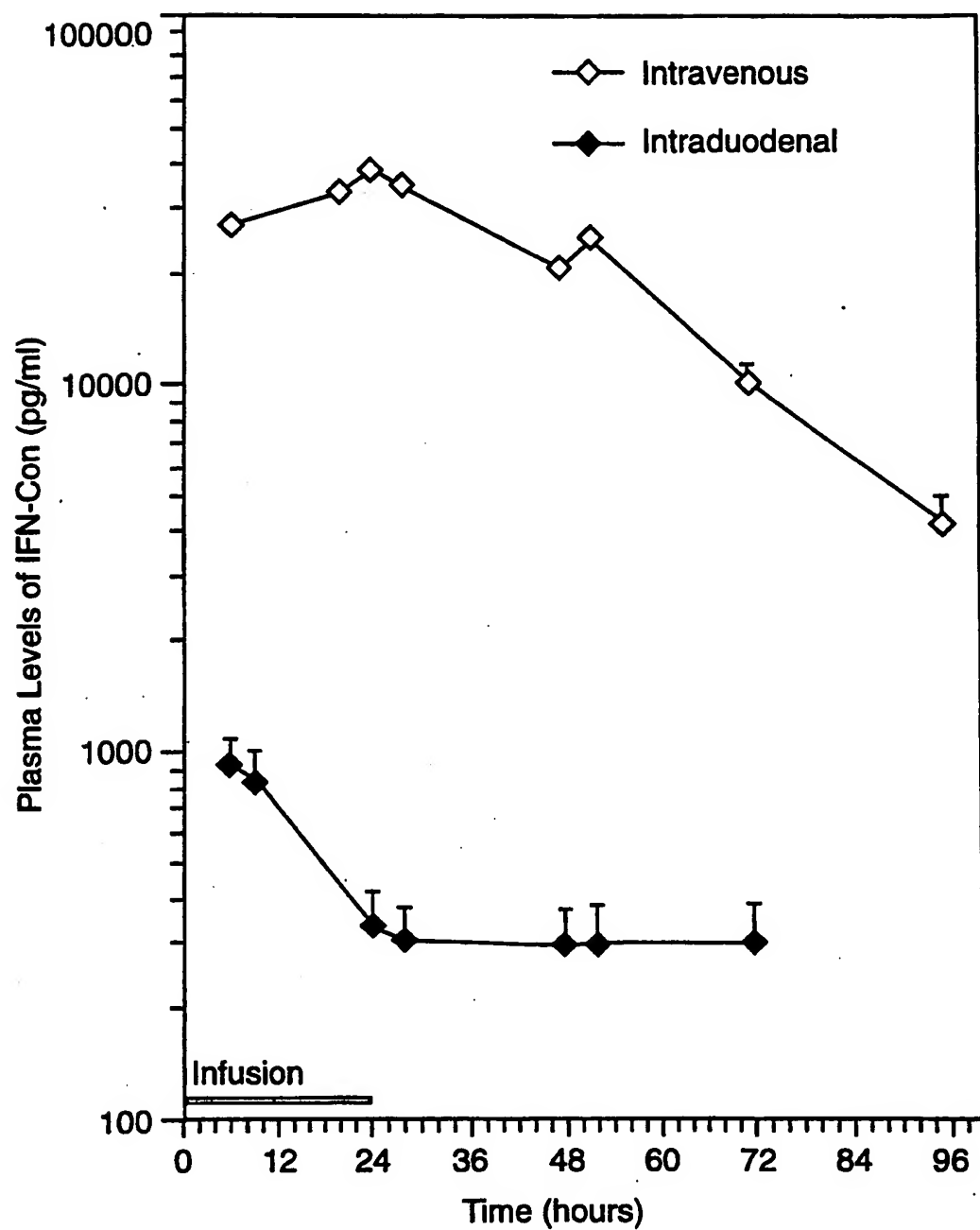
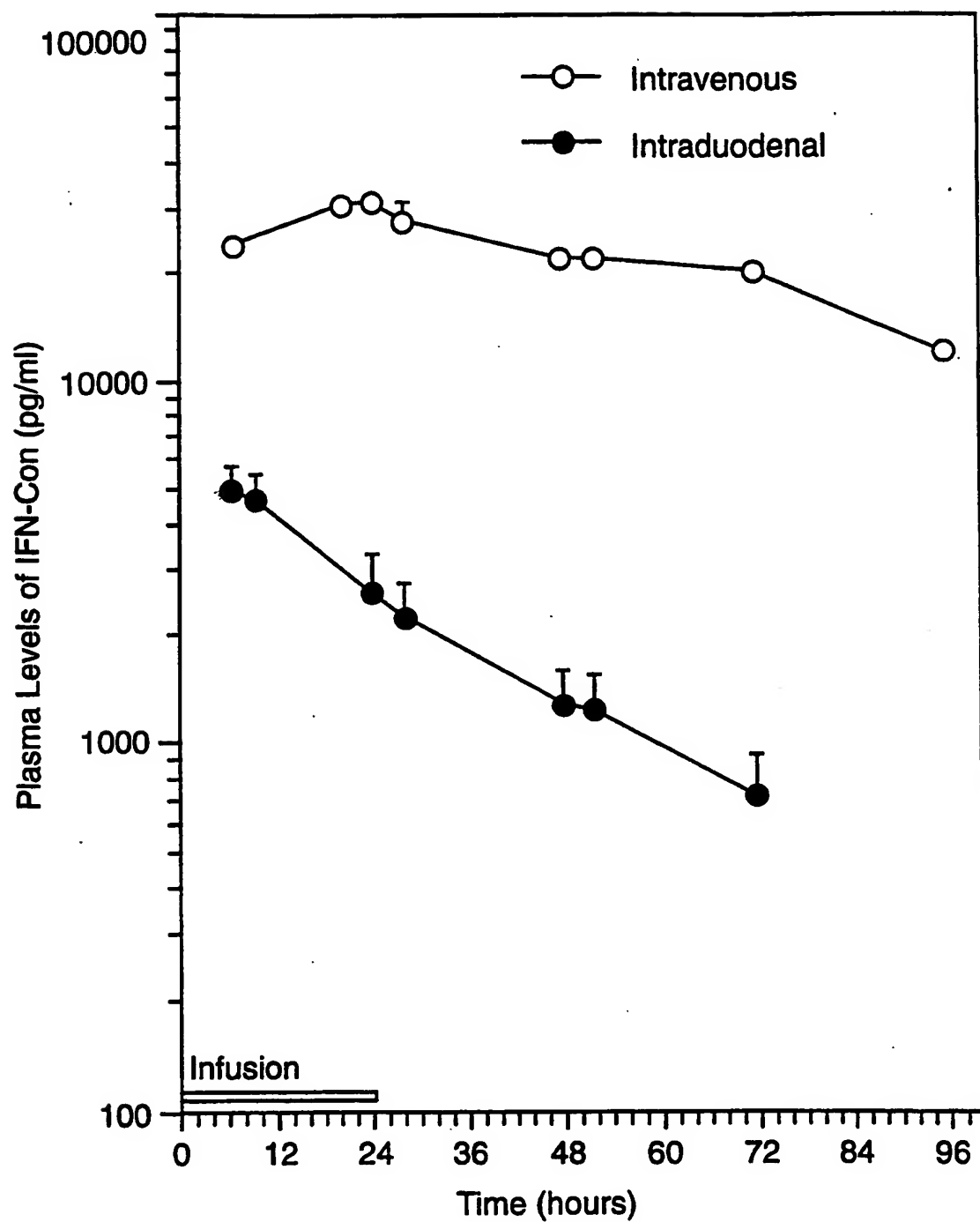


Fig. 15



## INTERNATIONAL SEARCH REPORT

 International Application No  
 PCT/US 95/01752

 A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 6 A61K47/48

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP-A-0 401 384 (KIRIN AMGEN INC) 12 December 1990 cited in the application see claims ---	1-12
Y	EP-A-0 452 179 (NIPPON OILS & FATS CO LTD ;KOYAMA YOSHIYUKI (JP); KOJIMA SHUJI (JP) 16 October 1991 see page 2, line 19 - line 49 ---	1-12
A,P	WO-A-94 20069 (AMGEN INC) 15 September 1994 see claims ---	1-6
P,Y	EP-A-0 593 868 (HOFFMANN LA ROCHE) 27 April 1994 see claims 1,8,11; figure 2 ---	1-12
-/--		

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
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- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

19 July 1995

Date of mailing of the international search report

28.07.95

Name and mailing address of the ISA

 European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
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 Fax (+31-70) 340-3016

Authorized officer

Berte, M

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 95/01752

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO-A-94 02164 (RHONE POULENC RORER SA ;BOUSSEAU ANNE (FR); FRYDMAN ARMAND (FR); P) 3 February 1994 see claims; examples ----	1
P,Y	JOURNAL OF CONTROLLED RELEASE, vol. 30, no. 1, April 1994 AMSTERDAM NL, pages 27-34, See abstract ----	1-12
A	EP-A-0 576 192 (AMGEN INC) 29 December 1993 see page 3, line 35 - line 58 see page 5, line 23 - line 32 ----	1-12
A	WO-A-90 01329 (TAKADA KANJI) 22 February 1990 see page 1, paragraph 2 see page 2, paragraph 2 - page 3, paragraph 1 see page 6, line 24 - page 7, line 1 ----	1-6
X	EP-A-0 473 268 (ICI PLC.) 4 March 1992 cited in the application see page 4, line 55 - page 58; claims 1,3,6-9 ----	1-12
A	WO-A-93 21229 (AMGEN INC.) 28 October 1993 see page 5, line 13 - line 25 see page 12, line 1 - line 15 see page 13, line 26 - line 30; claims 1,17,19,21,23 -----	1-12

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 95/01752

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A-0401384	12-12-90	CA-A- 2006596 WO-A- 9006952	22-06-90 28-06-90
EP-A-0452179	16-10-91	JP-A- 5132431 US-A- 5130126	28-05-93 14-07-92
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